# SOME COMPARISON THEOREMS FOR KÄHLER MANIFOLDS

LUEN-FAI TAM<sup>1</sup> AND CHENGJIE  $\mathrm{YU}^2$ 

ABSTRACT. In this work, we will verify some comparison results on Kähler manifolds. They are complex Hessian comparison for the distance function from a closed complex submanifold of a Kähler manifold with holomorphic bisectional curvature bounded below by a constant, eigenvalue comparison and volume comparison in terms of scalar curvature. This work is motivated by comparison results of Li and Wang [12].

## 1. INTRODUCTION

In this work, we will study some comparison theorems on Kähler manifolds. There is a well-known Hessian comparison for the distance function on Riemannian manifolds in terms of lower bound of sectional curvature. It is expected that for Kähler manifolds the lower bound of sectional curvature can be replaced by the lower bound of bisectional curvature to obtain a complex Hessian comparison for the distance function. In fact, in [12], Li and Wang gave a sharp upper estimate for the Laplacian of the distance function from a point. They also gave a sharp upper estimate for the complex Hessian for the distance function in the case that the lower bound of the bisectional curvature K =0, i.e., on Kähler manifolds with nonnegative holomorphic bisectional curvature. This last result was also proved by Cao and Ni [5] using Li-Yau-Hamilton Harnack type inequality for the heat equation. In the note, we shall verify this complex Hessian comparison for general lower bound K and show that the complex Hessian of the distance function is bounded above by that in the complex space forms. See Theorem 2.1 and 2.2 for more details.

Date: October 2010.

<sup>2010</sup> Mathematics Subject Classification. Primary 53B35; Secondary 53C55.

Key words and phrases. Kähler manifolds, bisectional curvature, comparison.

<sup>&</sup>lt;sup>1</sup>Research partially supported by Hong Kong RGC General Research Fund #CUHK 403108.

<sup>&</sup>lt;sup>2</sup>Research partially supported by the National Natural Science Foundation of China (10901072) and GDNSF (9451503101004122).

Our next result is motivated by the well-known Licherowicz-Obata theorem on Riemannian manifolds, which says that if  $(M^m, g)$  is a compact Riemannian manifold with Ricci curvature bounded below by (m-1)K, where K > 0 is a constant, then the first nonzero eigenvalue  $\lambda_1$  satisfies  $\lambda_1 \ge mK$  and equality holds only if (M, g) is isometric to the standard sphere of radius  $1/\sqrt{K}$ . It is well-known that if  $(M^n, g)$ is a Kähler manifold such that Ricci curvature is such that  $R_{\alpha\bar{\beta}} \ge kg_{\alpha\bar{\beta}}$  for some constant k > 0, then the first nonzero eigenvalue  $\lambda_1$  of the complex Laplacian is at least k (see [9]). Our next result is the following:

Let  $(M^n, g)$  be as above. Suppose the Kähler form is in the first Chern class. If  $\lambda_1 = k$ , then M is Kähler-Einstein.

As a corollary, when  $(M^n, g)$  is a Kähler manifold with positive holomorphic bisectional curvature such that the Ricci curvature is bounded below by n+1, then  $\lambda_1 \ge n+1$  and equality holds if and only if  $(M^n, g)$ is holomorphically isometric to  $\mathbb{CP}^n$  with the Fubini-Study metric (of constant holomorphic sectional curvature 2). As an application we obtain a partial result on the equality case for the diameter estimate of Li and Wang [12] on compact Kähler manifolds with holomorphic bisectional curvature bounded below by 1. See Corollary 3.2.

In [12], it was proved that if a compact Kähler manifold has bisectional curvature bounded below by 2 (see Definition 2.1), then the volume of the manifold is less than or equal to the volume of  $\mathbb{CP}^n$  with the Fubini-Study metric. Our last result is an observation to relax their conditions by replacing the lower bound of the bisectional curvature by the lower bound of the scalar curvature, with the assumption that the bisectional curvature is positive. In fact, we prove:

Let  $(M^n, g)$  be a compact manifold with positive holomorphic bisectional curvature. Suppose the scalar curvature  $k_2 \ge R \ge k_1 > 0$  for some constants  $k_1$  and  $k_2$ . Then the volume V(M,g) of M satisfies  $V(\mathbb{CP}^n, h_{k_2}) \le V(M,g) \le V(\mathbb{CP}^n, h_{k_1})$ . Moreover, if one of the inequalities is an equality, then (M,g) is holomorphically isometric the  $\mathbb{CP}^n$  with the Fubini-Study metric.

The result is a consequence of a more general result, which is related to a conjecture of Schoen [17] which states: If  $(M^n, h)$  is a closed hyperbolic manifold and g is another metric on M with scalar curvature  $R(g) \ge R(h)$ , then  $V(g) \ge V(h)$ . The conjecture was proved for n = 3by Perelmann [15, 16]. We will compare volume of a compact Kähler manifold with the volume of a related Kähler-Einstein metric in terms of upper and lower bounds of the scalar curvature. See Proposition 4.1. The paper is organized as follows: In  $\S2$ , we will study comparison of the complex Hessian for the distance function. In  $\S3$ , we will study eigenvalue comparison and in  $\S4$ , we will study volume comparison.

The authors would like to thank Xiaowei Wang for some useful discussions.

#### 2. Complex Hessian comparisons

Let  $M^n$  be a complex manifold with complex dimension n and let Jbe the complex structure. Suppose g is a Hermitian metric such that (M, J, g) is Kähler. Suppose  $\{e_1, e_2, \dots, e_n\}$  is a frame on  $T^{(1,0)}(M)$ , let  $g_{\alpha\bar{\beta}} := g(e_{\alpha}, \bar{e}_{\beta})$ . We also write  $g(X, \bar{Y})$  as  $\langle X, \bar{Y} \rangle$  and  $||X||^2 = g(X, \bar{X})$ for  $X, Y \in T^{(1,0)}(M)$ . Following [12], we have the following definition.

**Definition 2.1.** Let (M, J, g) be a Kähler manifold. We say that the holomorphic bisectional curvature of M is bounded below by a constant K, denoted by  $BK_M \ge K$ , if

(2.1) 
$$\frac{R(X, \bar{X}, Y, \bar{Y})}{\|X\|^2 \|Y\|^2 + |\langle X, \bar{Y} \rangle|^2} \ge K$$

for any two nonzero (1,0)-vectors X, Y.

We remark that on a Kähler manifold with constant holomorphic sectional curvature 2K, (2.1) is an equality for all nonzero  $X, Y \in T^{(1,0)}(M)$ .

Let  $S^p$  be a complex submanifold of  $M^n$  with complex dimension  $p \geq 0$  and let r be the distance function from S. We want to give a comparison result for the complex Hessian of r. We always assume that S is connected and closed. Let  $x_0 \in S$  and let  $\sigma$  be a geodesic from  $x_0$  which is orthogonal to S at  $x_0$  and is parametrized by arc length t with  $0 \leq t \leq T$ . Assume that  $\sigma$  is within the cut locus of S so that the distance function r is smooth near  $\sigma|_{(0,T]}$ . Let  $e_1 = \frac{1}{\sqrt{2}}(\sigma' - \sqrt{-1}J\sigma') = \frac{1}{\sqrt{2}}(\nabla r - \sqrt{-1}J\nabla r)$  and let  $e_1, e_2, \ldots, e_n$  be unitary frames parallel along  $\gamma$  such that  $e_{n-p+1}, \ldots, e_n$  are tangent to S at t = 0. In the following  $f_{\alpha\bar{\beta}}$  etc are covariant derivatives of the function f and repeated indices mean summation. The computations in [12] show:

**Lemma 2.1.** With the above notations, on  $\sigma$ , we have

(2.2) 
$$\frac{d}{dr}r_{\alpha\bar{\beta}} + r_{\alpha\bar{\gamma}}r_{\gamma\bar{\beta}} + r_{\alpha\gamma}r_{\bar{\gamma}\bar{\beta}} = -\frac{1}{2}R_{\alpha\bar{\beta}1\bar{1}},$$

and

(2.3) 
$$\frac{d}{dr}r_{\alpha\beta} + r_{\alpha\gamma}r_{\bar{\gamma}\beta} + r_{\alpha\bar{\gamma}}r_{\gamma\beta} = \frac{1}{2}R_{\alpha\bar{1}\beta\bar{1}}.$$

*Proof.* Extend  $e_i$  to be a unitary frames near a point on  $\sigma$ . Note that on  $\sigma$ 

$$(2.4) \qquad \begin{array}{l} 0 = (\|\nabla r\|^2)_{\alpha\bar{\beta}} \\ = (2r_{\gamma}r_{\bar{\gamma}})_{\alpha\bar{\beta}} \\ = 2(r_{\gamma\alpha\bar{\beta}}r_{\bar{\gamma}} + r_{\gamma}r_{\bar{\gamma}\alpha\bar{\beta}} + r_{\gamma\alpha}r_{\bar{\gamma}\bar{\beta}} + r_{\gamma\bar{\beta}}r_{\bar{\gamma}\alpha}) \\ = 2(r_{\alpha\bar{\beta}\gamma}r_{\bar{\gamma}} + R_{\alpha\bar{\delta}\gamma\bar{\beta}}r_{\delta}r_{\bar{\gamma}} + r_{\gamma}r_{\alpha\bar{\beta}\bar{\gamma}} + r_{\gamma\alpha}r_{\bar{\gamma}\bar{\beta}} + r_{\gamma\bar{\beta}}r_{\bar{\gamma}\alpha}) \end{array}$$

So,

$$(2.5) r_{\alpha\bar{\beta}\gamma}r_{\bar{\gamma}} + r_{\alpha\bar{\beta}\bar{\gamma}}r_{\gamma} + r_{\alpha\gamma}r_{\bar{\gamma}\bar{\beta}} + r_{\gamma\bar{\beta}}r_{\alpha\bar{\gamma}} = -R_{\alpha\bar{\delta}\gamma\bar{\beta}}r_{\delta}r_{\bar{\gamma}}$$

Since  $e_1 = \frac{1}{\sqrt{2}}(\nabla r - J\nabla r)$ , and  $e_1, e_2, \cdots, e_n$  are parallel along  $\sigma$ , we have

(2.6) 
$$r_1 = \frac{1}{\sqrt{2}} \text{ and } r_\alpha = 0 \text{ for any } \alpha > 1$$

and

(2.7) 
$$r_{\alpha\bar{\beta}\gamma}r_{\bar{\gamma}} + r_{\alpha\bar{\beta}\bar{\gamma}}r_{\gamma} = \frac{1}{\sqrt{2}}(r_{\alpha\bar{\beta}1} + r_{\alpha\bar{\beta}\bar{1}}) = \frac{d}{dr}r_{\alpha\bar{\beta}}.$$

By (2.5)–(2.7), we conclude that (2.2) is true. The proof of (2.3) is similar.

The following fact may be well-known. We include the proof for the sake of completeness.

**Lemma 2.2.** Let  $M^{n+m}$  be a complete Riemannian manifold and  $S^m$  be a closed submanifold of M. Let r be the distance function to S. Let  $\gamma(s)$  be a normal geodesic orthogonal to S with  $\gamma(0) \in S$ . Let  $e_1, e_2, \dots, e_n, e_{n+1}, \dots, e_{n+m}$  are parallelled orthonormal frames along  $\gamma$  such that  $e_{n+1}(0), e_{n+2}(0), \dots, e_{n+m}(0)$  is tangent to S and  $e_1 = \gamma'$ . Then (2.8)

$$\lim_{s \to 0} \left( \left[ \nabla^2 r(\gamma(s))(e_i, e_j) \right]_{1 \le i, j \le n+m} - \left( \begin{array}{ccc} 0 & 0 & 0 \\ 0 & \frac{1}{s} I_{n-1} & 0 \\ 0 & 0 & [h_{ij}]_{n+1 \le i, j \le n+m} \end{array} \right) \right) = 0.$$

where  $h_{ij} = \langle h(e_i, e_j), \gamma' \rangle$ , and  $h(X, Y) = -(\nabla_X Y)^{\perp}$  for  $X, Y \in T(S)$  is the second fundamental form of S.

*Proof.* Let  $p = \gamma(0)$  and let  $\{\nu_1, \ldots, \nu_n\}$  be a unit normal frame of the normal bundle  $T^{\perp}S$  near p such that  $D\nu_i = 0$  at p for  $i = 1, \cdots, n$ , where D is the normal connection given by  $D_X\nu = (\nabla_X\nu)^{\perp}$  for any normal vector field  $\nu$  and any X tangent to S. Moreover, we may choose  $\nu_i$  such that  $\nu_i(p) = e_i(0)$  for  $i = 1, 2, \cdots, n$ .

Now let  $x_1, \ldots, x_m$  be a local coordinates at p in S, then one can parametrize M by  $F(x, y) = \exp_x(\sum_{j=1}^n y_j \nu_j)$ , where  $x = (x_1, \ldots, x_m)$ ,  $y = (y_1, \ldots, y_n)$ . Let  $X_i = \frac{\partial}{\partial x^i}$ ,  $Y_j = \frac{\partial}{\partial y_j}$ . Then  $r^2(x, y) = \sum_{j=1}^n y_j^2$ . We can moreover assume that  $X_i(p) = e_{i+n}(0)$  for  $i = 1, 2, \cdots, m$ . Similar to normal coordinates, we have

(2.9) 
$$\nabla_{Y_i} Y_j = 0$$

on S. Extend  $e_i$ 's to be smooth vector fields near p. For any i,

$$e_i = \sum_{k=1}^{m} a_i^k X_k + \sum_{l=1}^{n} b_i^l Y_l$$

Note that  $a_i^k = 0$  and  $b_i^l = \delta_i^l$  at s = 0 for  $1 \le i \le n$ , and  $a_i^k = \delta_{i-n}^k$  and  $b_i^l = 0$  at s = 0 for  $n + 1 \le i \le m + n$ .

Since  $e_i$  is parallel along  $\gamma$ , by (2.9) and the initial conditions of  $a_i^k$  and  $b_i^l$ , we conclude that if  $1 \leq i \leq n$ , then

(2.10) 
$$a_i^k = o(s), b_i^l - \delta_i^l = o(s)$$

as  $s \to 0$ . Using (2.9), the fact that  $D_{X_k}Y_1 = 0$  at s = 0 and the fact that  $X_k$  are tangential at s = 0, if  $n + 1 \le i \le m + n$ , then

$$(2.11) b_i^l = o(s)$$

as  $s \to 0$ . It is easy to see that on  $\gamma$ ,  $||\nabla^2 r|| = O(\frac{1}{s})$ . Hence by (2.10) for  $1 \le i, j \le n$ , we have on  $\gamma$ 

(2.12)  
$$0 = \lim_{s \to 0} \left[ \nabla^2 r(\gamma(s))(e_i, e_j) - \nabla^2 r(\gamma(s))(Y_i, Y_j) \right]$$
$$= \lim_{s \to 0} \left( \nabla^2 r(\gamma(s))(e_i, e_j) - \frac{\delta_{ij} - \delta_{i1}\delta_{1j}}{s} \right)$$

where we have used (2.9) and the facts that on  $\gamma$ ,  $y_1 = s > 0$ ,  $y_j = 0$  for  $2 \le j \le n$ , and  $\nabla r = \gamma'$ .

By (2.10) and (2.11), for  $n+1 \leq i \leq m+n$  and  $1 \leq j \leq n$ , we have on  $\gamma$ 

(2.13) 
$$\lim_{s \to 0} \nabla^2 r(e_i, e_j) = \lim_{s \to 0} \sum_{k=1}^m a_i^k \nabla^2 r(X_k, Y_j) = 0$$

where we have used the fact r does not depend on x and the fact that  $DY_j = 0$  at  $p = \gamma(0)$ .

By (2.11), for  $n+1 \leq i, j \leq n+m$ , on  $\gamma$  we have

(2.14) 
$$\lim_{s \to 0} \nabla^2 r(e_i, e_j) = \lim_{s \to 0} \sum_{k,l=1}^m a_i^k a_j^l \nabla^2 r(X_k, X_l)$$
$$= \langle h(e_i, e_j), \gamma'(0) \rangle$$

where  $h(X_i, X_j) = -(\nabla_{X_i} X_j)^{\perp}$  is the second fundamental form of S. The completes the proof of the lemma.

We also need the following result in [8]:

**Lemma 2.3.** Let A(t) and B(t) be two smooth curves in the space of  $n \times n$  complex Hermitian matrices on the interval (0,T), satisfying the equality

(2.15) 
$$A'(t) + A^2(t) \le B'(t) + B^2(t)$$

for any  $t \in (0, T)$ . Suppose

(2.16) 
$$\lim_{t \to 0^+} (B(t) - A(t)) = 0.$$

Then

for  $t \in (0,T)$ . Here  $X \leq Y$  means that Y - X is positive semi definite.

**Theorem 2.1.** Let  $(M^n, g)$  be a complete Kähler manifold with holomorphic bisectional curvature bounded below by K. Let  $S^p$  be a connected closed complex submanifold of M with complex dimension p. Then within the cut-locus of S,

(2.18) 
$$r_{\alpha\bar{\beta}} \leq F_K \left( g_{\alpha\bar{\beta}} - g^S_{\alpha\bar{\beta}} \right) + G_K r_\alpha r_{\bar{\beta}} + H_K g^S_{\alpha\bar{\beta}}$$

where

(2.19) 
$$F_{K} = \begin{cases} \sqrt{\frac{K}{2}} \cot(\sqrt{\frac{K}{2}}r), & \text{if } K > 0; \\ \frac{1}{r}, & \text{if } K = 0; \\ \sqrt{-\frac{K}{2}} \coth(\sqrt{-\frac{K}{2}}r), & \text{if } K < 0, \end{cases}$$

(2.20)

$$G_{K} = \begin{cases} \sqrt{2K} \left( \cot(\sqrt{2K}r) - \cot(\sqrt{\frac{K}{2}}r) \right) & \text{if } K > 0; \\ -\frac{1}{r} & \text{if } K = 0; \\ \sqrt{-2K} \left( \coth(\sqrt{-2K}r) - \coth(\sqrt{-\frac{K}{2}}r) \right) & \text{if } K < 0, \end{cases}$$

and

(2.21) 
$$H_{K} = \begin{cases} -\sqrt{K/2} \tan\left(\sqrt{K/2}r\right) & \text{if } K > 0; \\ 0 & \text{if } K = 0; \\ -\sqrt{-K/2} \tanh\left(\sqrt{-K/2}r\right) & \text{if } K < 0, \end{cases}$$

where  $g^S$  is the metric of S parallel transported along geodesics emanating from S that is orthogonal to S.

*Proof.* We only prove the case K > 0 and the proofs of the other two cases are similar.

Let  $x_0 \in S$  and let  $\gamma$  be a geodesic emanating from  $x_0$  orthogonal to S. Let  $e_1, e_2, \dots, e_n$  be parallel unitary frame along  $\gamma$  with  $e_1 = \frac{1}{\sqrt{2}}(\gamma' - \sqrt{-1}J\gamma')$  and  $e_{n-p+1}(0), \dots, e_n(0)$  be tangent to S. Then, by Lemma 2.2 and the fact that  $h(u, \bar{v}) = 0$  for  $u, v \in T^{1,0}S$ ,

(2.22) 
$$\lim_{r \to 0} \left( (r_{\alpha \overline{\beta}})_{1 \le \alpha, \beta \le n} - \left( \begin{array}{ccc} \frac{1}{2r} & 0 & 0\\ 0 & \frac{1}{r} I_{n-p-1} & 0\\ 0 & 0 & 0 \end{array} \right) \right) = 0.$$

Since  $e_1 = \frac{1}{\sqrt{2}} (\nabla r - \sqrt{-1} J \nabla r),$ 

(2.23) 
$$r_1 = \frac{1}{\sqrt{2}}$$
 and  $r_\alpha = 0$  for any  $\alpha > 1$ .

Moreover, since  $(\|\nabla r\|^2)_{\alpha} = 0$ , we have

$$(2.24) r_{\alpha 1} = -r_{\alpha \overline{1}}.$$

Therefore, by Lemma 2.2, we have

(2.25) 
$$\frac{d}{dr}r_{\alpha\bar{\beta}} + r_{\alpha\bar{\gamma}}r_{\gamma\bar{\beta}} + r_{\alpha\bar{1}}r_{1\bar{\beta}} + \sum_{\gamma=2}^{n} r_{\alpha\gamma}r_{\bar{\gamma}\bar{\beta}} = -\frac{1}{2}R_{\alpha\bar{\beta}1\bar{1}}.$$

Let  $B = (r_{\alpha \overline{\beta}})$ . Since the holomorphic bisectional curvature is bounded below by K, we conclude that

(2.26) 
$$\frac{d}{dr}B + BB^* + B_1B_1^* \le \begin{pmatrix} -K & 0\\ 0 & -\frac{K}{2}I_{n-1} \end{pmatrix}.$$

where  $B_1$  is the first column of B. This implies that

(2.27) 
$$\frac{d}{dr}\tilde{B} + \tilde{B}^2 \le \begin{pmatrix} -2K & 0\\ 0 & -\frac{K}{2}I_{n-1} \end{pmatrix}$$

Luen-Fai Tam and Chengjie Yu

where  $\tilde{B} = DBD$  and  $D = \begin{pmatrix} \sqrt{2} & 0 \\ 0 & I_{n-1} \end{pmatrix}$ . By (2.22), (2.28)  $\lim_{r \to 0} \left( \tilde{B} - \begin{pmatrix} \frac{1}{r} & 0 & 0 \\ 0 & \frac{1}{r}I_{n-p-1} & 0 \\ 0 & 0 & 0 \end{pmatrix} \right) = 0.$ 

Note that (2, 20)

$$X = \begin{pmatrix} \sqrt{2K}\cot(\sqrt{2K}r) & 0 & 0\\ 0 & \sqrt{\frac{K}{2}}\cot(\sqrt{\frac{K}{2}}r)I_{n-p-1} & 0\\ 0 & 0 & -\sqrt{\frac{K}{2}}\tan(\sqrt{\frac{K}{2}}r)I_p \end{pmatrix}$$

is a solution of

(2.30) 
$$\frac{d}{dr}X + X^2 = \begin{pmatrix} -2K & 0\\ 0 & -\frac{K}{2}I_{n-1} \end{pmatrix}$$

with initial condition (2.28). By Lemma 2.3,  $\tilde{B} \leq X$ . By the definition of  $\tilde{B}$ , we conclude that the theorem is true for the case K > 0. The other cases are similar.

In case S is a point  $x_0 \in M$ , then it is understood that  $g^S$  is zero. So within the cut locus of  $x_0$ , we have

$$r_{\alpha\bar{\beta}} \le F_K g_{\alpha\bar{\beta}} + G_K r_\alpha r_{\bar{\beta}}.$$

Next, we want to discuss the equality case.

**Theorem 2.2.** With the same assumptions in the Theorem 2.1.

- (i) Suppose equality holds in (2.18) in a neighborhood of S, then S is totally geodesic.
- (ii) If  $S = x_0$  is a point and equality holds in (2.18) at all points in the geodesic ball  $B_{x_0}(r)$  which are within the cut locus of  $x_0$ , then  $B_{x_0}(r)$  is holomorphically isometric to the geodesic ball of radius r in the simply connected Kähler manifold with constant holomorphic sectional curvature 2K. Here if K > 0, it is assumed that  $r < \frac{\pi}{\sqrt{2K}}$ .

*Proof.* The proof is similar to the Riemannian case (see [11, 6]).(i) When equality of (2.18) holds near S, we know that

$$(2.31) r_{\alpha\beta} = 0$$

for all  $\alpha, \beta \geq 2$  near S. By Lemma 2.2 and the fact that  $h(u, \bar{v}) = 0$  for  $u, v \in T^{1,0}S$ , we know that the second fundamental form h of S is zero, hence S is totally geodesic.

(ii) We only prove the case K > 0, the other two cases are similar. Under the assumptions of the theorem, at all points within the cut locus of  $x_0$ , we have

(2.32) 
$$(R_{\alpha\bar{\beta}1\bar{1}}) = \begin{pmatrix} 2K & 0\\ 0 & KI_{n-1} \end{pmatrix}$$

and

(2.33) 
$$(r_{\alpha\beta}) = \begin{pmatrix} -\frac{\sqrt{2K}}{2}\cot(\sqrt{2K}r) & 0\\ 0 & 0_{n-1} \end{pmatrix}.$$

By Lemma 2.1, we have

(2.34) 
$$\frac{d}{dr}r_{\alpha\beta} + r_{\gamma\alpha}r_{\bar{\gamma}\beta} + r_{\gamma\beta}r_{\bar{\gamma}\alpha} = R_{\alpha\bar{\delta}\beta\bar{\gamma}}r_{\delta}r_{\gamma} = \frac{1}{2}R_{\alpha\bar{1}\beta\bar{1}}.$$

(2.35) 
$$R_{\alpha \bar{1}\beta \bar{1}} = 0 \text{ if } \alpha \neq 1 \text{ or } \beta \neq 1$$

by substituting the equality in (2.18) and (2.33) into (2.34). Let  $J = x_{\alpha}e_{\alpha} + \bar{x}_{\beta}\bar{e}_{\beta}$  be a Jacobi field along a geodesic emanating from p. Then (2.36)

$$x''_{\alpha} = \langle R(\frac{e_1 + \bar{e}_1}{\sqrt{2}}, x_{\beta}e_{\beta} + \bar{x}_{\gamma}\bar{e}_{\gamma})\frac{e_1 + \bar{e}_1}{\sqrt{2}}, \bar{e}_{\alpha} \rangle = \frac{1}{2}(R_{1\bar{\gamma}1\bar{\alpha}}\bar{x}_{\gamma} - R_{\beta\bar{\alpha}1\bar{1}}x_{\beta})$$

This is the same as the Jocobi field equation on the space form with constant holomorphic sectional curvature 2K because of (2.32) and (2.35). Let  $o \in \mathbb{CP}^n$  with Kähler metric with constant holomorphic sectional curvature 2K. Let I be an isometry from  $T_{x_0}(M) \to T_o(\mathbb{CP}^n)$ such that I is holomorphic. Then suppose  $r_0 <$  injectivity radius of  $x_0$  and  $r_0 < r$ , then the map  $\exp_o \circ I \circ \exp_{x_0}^{-1} : B_{x_0}(r_0) \to B_o(r_0)$ is an isometry by the proof of Catan-Ambrose-Hicks Theorem [11]. Since the injectivity radius of o is  $\frac{\pi}{\sqrt{2K}}$ , it is easy to see that  $\phi =$  $\exp_o \circ I \circ \exp_{x_0}^{-1}$  can be extended to be an isometry from  $B_{x_0}(r)$  to  $B_o(r)$ . We may arrange so that  $\phi^* J_0 = J_M$  at  $x_0$  where  $J_0$  is the complex structure of  $\mathbb{CP}^n$ . Since  $\phi$  is an isometry,  $\nabla(J_M - \phi^* J_0) = 0$ . Hence  $\phi$  is holomorphic.

*Remark* 2.1. By the theorem and the proofs in [12], we may obtain results on the equality case for the volume comparison and first Dirichlet eigenvalue comparison for geodesic balls in [12].

#### 3. EIGENVALUE COMPARISON

Consider the first nonzero eigenvalue of compact Kähler manifold  $(M^n, g)$ . It is well known that if the Ricci curvature of M satisfies Ric  $\geq kg$  for some k > 0, then the first nonzero eigenvalue of the

complex Laplacian is bounded below by k (see [9, Theorem 2.4.5]). Here the complex Laplacian of a function u is given by

$$\Delta u = g^{\alpha\beta} u_{\alpha\bar{\beta}}$$

Let  $\Delta^{\mathbb{R}}$  be the Laplacian of the underlining Riemannian metric, then  $\Delta = \frac{1}{2}\Delta^{\mathbb{R}}$ . We want to discuss the equality case.

**Theorem 3.1.** Let  $(M^n, g)$  be a compact Kähler manifolds with  $Ric \geq kg$ , k > 0. Suppose the first nonzero eigenvalue  $\lambda$  for the complex Laplacian is k and that the Ricci form  $\rho$  and Kähler form  $\omega$  satisfy  $[\rho] = c[\omega]$  for some c. Then M is Kähler-Einstein.

*Proof.* Let us recall the proof that  $\lambda \geq k$ . Let u be a first eigenfunction. If  $\{e_{\alpha}\}$  is a unitary frame, then Then

$$(3.1) -\lambda \int_{M} |\nabla u|^{2} = -\lambda \int_{M} \sum_{\alpha} u_{\alpha} u_{\bar{\alpha}}$$
$$= \int_{M} \sum_{\alpha} (\Delta u)_{\alpha} u_{\bar{\alpha}}$$
$$= \int_{M} \sum_{\alpha,\beta} (u_{\beta\bar{\beta}})_{\alpha} u_{\bar{\alpha}}$$
$$= \int_{M} \sum_{\alpha,\beta} (u_{\beta\alpha\bar{\beta}} - R_{\beta\bar{\gamma}\alpha\bar{\beta}} u_{\gamma}) u_{\bar{\alpha}}$$
$$= -\left(\int_{M} u_{\alpha\beta} u_{\bar{\alpha}\bar{\beta}} + R_{\alpha\bar{\gamma}} u_{\gamma} u_{\bar{\alpha}}\right)$$

Hence

$$\lambda \int_{M} |\nabla u|^2 \ge k \int_{M} |\nabla u|^2$$

and  $\lambda \geq k$ . Hence if  $\lambda = k$ , then  $u_{\alpha\beta} = 0$ . By assumption, there is a positive number c > 0 and a function F such that

(3.2) 
$$R_{\alpha\bar{\beta}} - cg_{\alpha\bar{\beta}} = F_{\alpha\bar{\beta}}.$$

Let  $\phi = \Delta u + cu + g^{\alpha \bar{\beta}} F_{\alpha} u_{\bar{\beta}}$ . As in [9, p.42], one can prove that  $\phi$  is holomorphic. In fact, in a normal coordinate at a point,

$$(3.3) \qquad \begin{aligned} \phi_{\bar{\gamma}} = & u_{\alpha\bar{\alpha}\bar{\gamma}} + cu_{\bar{\gamma}} + F_{\alpha\bar{\gamma}}u_{\bar{\alpha}} + F_{\alpha}u_{\bar{\alpha}\bar{\gamma}} \\ = & u_{\bar{\alpha}\alpha\bar{\gamma}} + cu_{\bar{\gamma}} + F_{\alpha\bar{\gamma}}u_{\bar{\alpha}} \\ = & u_{\bar{\alpha}\bar{\gamma}\alpha} - R_{\alpha\bar{\gamma}}u_{\bar{\alpha}} + cu_{\bar{\gamma}} + F_{\alpha\bar{\gamma}}u_{\bar{\alpha}} \\ = & (-cg_{\alpha\bar{\gamma}} - F_{\alpha\bar{\gamma}})u_{\bar{\alpha}} + cu_{\bar{\gamma}} + F_{\alpha\bar{\gamma}}u_{\bar{\alpha}} \\ = & 0, \end{aligned}$$

where we have used the fact that  $u_{\alpha\beta} = 0$  and (3.2). Hence  $\phi = a$  is a constant. This implies, together with the fact that  $\Delta u = -ku$ ,

$$(-k+c)u+g^{\alpha\beta}F_{\alpha}u_{\bar{\beta}}=a.$$

Let  $S = \max u$ , and  $s = \min u$ . Evaluate the above equality at the maximum and the minimum points, we have

$$(-k+c)S = a, (-k+c)s = a.$$

So k = c and a = 0 because u is nonconstant. Hence (3.2) becomes:

$$R_{\alpha\bar{\beta}} - kg_{\alpha\bar{\beta}} = F_{\alpha\bar{\beta}}.$$

Since  $R_{\alpha\bar{\beta}} \ge kg_{\alpha\bar{\beta}}$ , F is plurisubarmonic and is a constant. Hence

$$R_{\alpha\bar{\beta}} - kg_{\alpha\bar{\beta}} = 0$$

and M is Kähler-Einstein.

**Corollary 3.1.** Let  $(M^n, g)$  be a Kähler manifold with positive holomorphic bisectional curvature such that  $Ric \ge kg$ . Suppose the first nonzero eigenvalue of the complex Laplacian equal to k, then M is holomorphically isometric to  $\mathbb{CP}^n$  with the Fubini-Study metric.

*Proof.* Since  $b_2(M) = 1$  (see [4, 10]), the condition  $[\rho] = c[\omega]$  in the theorem is automatically satisfied. Hence g is Kähler-Einstein and by the theorem of Berger (see [3, 10]) M is holomorphically isometric to  $\mathbb{CP}^n$  with the Fubini-Study metric.

As an application, we have the following partial result for the equality case of diameter comparison result by [12].

**Corollary 3.2.** Let  $M^n$  be a compact Kähler manifold with holomorphic bisectional curvature  $\geq 1$ . Suppose there exist compact connected complex submanifolds P of dimension s and Q of dimension n - 1 - s in M such that  $d(P,Q) = \frac{\pi}{\sqrt{2}}$  with some  $0 \leq s \leq n - 1$ . Then M is holomorphically isometric to  $\mathbb{CP}^n$  with the Fubini-Study metric.

Proof. Let  $[\xi_0 : \xi_1 : \cdots : \xi_n]$  be the homogeneous coordinate of  $\mathbb{CP}^n$ (equipped with the Fubini-Study metric with constant holomorphic sectional curvature 2). Let  $P_0 = \{ [\xi_0 : \xi_1 : \cdots : \xi_s : 0 : \cdots : 0] | \xi_0, \cdots , \xi_s \in \mathbb{C} \} \subset \mathbb{CP}^n$  and  $Q_0 = \{ [0 : \cdots : 0 : \xi_{s+1} : \cdots : \xi_n] | \xi_{s+1}, \cdots , \xi_n \in \mathbb{C} \} \subset \mathbb{CP}^n$ . Straight forward computations show:  $d(P_0, Q_0) = d := \frac{\pi}{\sqrt{2}}$  and

(3.4) 
$$r_{P_0}(x) + r_{Q_0}(x) = d$$

for any x, where  $r_{P_0}$  and  $r_{Q_0}$  are distance functions from  $P_0$  and  $Q_0$  respectively. Moreover, the first Dirichlet eigenvalues of  $B_{P_0}(r_0)$  and

 $B_{Q_0}(d-r_0)$  are both n+1. Here for a submanifold S in a Käher manifold,  $B_S(R)$  consists of points x with d(S, x) < R.

Denote  $\lambda_1(N)$  the first Dirichlet eigenvalue of the complex Laplacian of N. By [13], we have

$$\lambda_1(B_P(r_0)) \le \lambda_1(B_{P_0}(r_0)) = n + 1;$$
  
 $\lambda_1(B_Q(d - r_0)) \le \lambda_1(B_{Q_0}(d - r_0)) = n + 1$ 

Since d(P,Q) = d,  $B_P(r_0) \cap B_Q(d-r_0) = \emptyset$ . By the same argument as in [7, Theorem 2.1], we know that the first nonzero eigenvalue  $\lambda$  of M is at most n + 1. Since the Ricci curvature of M is bounded below by n + 1, we have  $\lambda = n + 1$ . By Corollary 3.1, M is holomorphically isometric to  $\mathbb{CP}^n$  with the Fubini-Study metric.

#### 4. VOLUME COMPARISON

Let  $(M^n, \omega)$  be a compact Kähler manifold such that the first Chern class  $c_1(M)$  is either positive or negative, where  $\omega$  is the Kähler form that is a multiple of the first Chern class as a cohomology class. If  $c_1(M) < 0$  then there is a unique Kähler-Einstein metric  $\omega_0$  such that  $\operatorname{Ric}(\omega_0) = -\omega_0$  by well-known results (see [1, 20]).

**Proposition 4.1.** With the above notations and assumptions, the following are true:

(i) If  $c_1(M) < 0$  and if the scalar curvature R of  $\omega$  satisfies  $-nb \leq R \leq -na < 0$ , then the volume of  $V(M, \omega)$  of  $(M, \omega)$  is bounded by

(4.1) 
$$V(M, \frac{1}{b}\omega_0) \le V(M, \omega) \le V(M, \frac{1}{a}\omega_0).$$

If the first (respectively the second) equality holds, then  $\omega = \frac{1}{b}\omega_0$ (respectively  $\omega = \frac{1}{a}\omega_0$ ).

(ii) If  $c_1(M) > 0$  and there is a Kähler-Einstein metric  $\omega_0$  such that  $Ric(\omega_0) = \omega_0$ , and if the scalar curvature R of  $\omega$  satisfies  $0 < nb \leq R \leq na$ , then the volume of  $V(M, \omega)$  of  $(M, \omega)$  is bounded by

(4.2) 
$$V(M, \frac{1}{a}\omega_0) \le V(M, \omega) \le V(M, \frac{1}{b}\omega_0).$$

If the first (respectively the second) equality holds, then  $(M, \omega)$ is holomorphically isometric to  $(M, \frac{1}{a}\omega_0)$  (respectively  $(M, \omega)$  is holomorphically isometric to  $(M, \frac{1}{a}\omega_0)$ ).

12

*Proof.* We only prove (i), the proof of (ii) is similar (For the case of equality, we have used results in [2]). To prove (i), suppose Suppose  $2\pi c_1(M) = -\lambda[\omega]$  with  $\lambda > 0$ . Then it is well-known that

(4.3) 
$$\lambda = -\frac{1}{nV(M,\omega)} \int_M R\omega^n.$$

Hence  $a \leq \lambda \leq b$ .

Moreover,

(4.4) 
$$V(M,\omega) = \frac{\pi^n}{n!\lambda^n} \int_M c_1(M)^n = \frac{V(M,\omega_0)}{\lambda^n}$$

Therefore

(4.5) 
$$\frac{1}{b^n}V(M,\omega_0) \le V(M,\omega) \le \frac{1}{a^n}V(M,\omega_0).$$

If the first equality holds, we know that  $\lambda = b$  and  $\omega$  has constant scalar curvature -b and is Kähler-Einstein (see [19]). It is easy to see that  $\omega = \frac{1}{b}\omega_0$ . If the second equality holds, we can prove similarly that  $\omega = \frac{1}{a}\omega_0$ .

**Corollary 4.1.** Let  $(M^n, \omega)$  be a compact manifold with positive holomorphic bisectional curvature. Suppose the scalar curvature  $k_2 \ge R \ge k_1 > 0$ . Let  $V_{k_1}(\mathbb{CP}^n)$  and  $V_{k_2}(\mathbb{CP}^n)$  be the volumes of  $\mathbb{CP}^n$  with Fubini-Study metrics with constant scalar curvatures  $k_1$  and  $k_2$  respectively. Then the volume V(M, g) of M satisfies:

(4.6) 
$$V_{k_2}(\mathbb{CP}^n) \le V(M,\omega) \le V_{k_1}(\mathbb{CP}^n).$$

Moreover, if one of the inequalities is an equality then (M, g) is holomorphically isometric the  $\mathbb{CP}^n$  with Fubini-Study metric.

*Proof.* By [14, 18], M is biholomorphic to  $\mathbb{CP}^n$  and we can apply Proposition 4.1(ii) and the results follow.

### References

- Aubin, T., Équations du type Monge-Ampère sur les variétés kähleriennes compactes, C. R. Acad. Sci. Paris Sér. A-B 283 (1976), no. 3, Aiii, A119– A121.
- [2] Bando, S.; Mabuchi, T., Uniqueness of Einstein Khler metrics modulo connected group actions. Algebraic geometry, Sendai, 1985, 11–40, Adv. Stud. Pure Math., 10, North-Holland, Amsterdam, 1987.
- [3] Berger, M. Sur les variétés d'Einstein compactes, Comptes Rendus de la IIIe Réunion du Groupement des Mathématiciens d'Expression Latine (Namur, 1965) pp. 35–55 Librairie Universitaire, Louvain.

- [4] Bishop, R. L. and Goldberg, S. I., On the second cohomologh group of a Kaehler manifold of positive curvature Proc. Amer. Math. Soc. 16 (1965), 119–122.
- [5] Cao, H.-D. and Ni, L., Matrix Li-Yau-Hamilton estimates for the heat equation on Kähler manifolds. Math. Ann. 331 (2005), no. 4, 795–807.
- [6] Cheeger, J. and Ebin, D. G., Comparison theorems in Riemannian geometry. North-Holland Mathematical Library, Vol. 9. North-Holland Publishing Co., Amsterdam-Oxford; American Elsevier Publishing Co., Inc., New York, 1975.
- [7] Cheng, S.-Y., Eigenvalue comparison theorems and its geometric applications. Math. Z. 143 (1975), no. 3, 289–297.
- [8] Eschenburg, J.-H.; Heintze, E. Comparison theory for Riccati equations. Manuscripta Math. 68 (1990), no. 2, 209–214.
- [9] Futaki, A., Kähler-Einstein metrics and integral invariants, Lecture notes in mathematics 1314, Springer-Verlag, 1988.
- [10] Goldberg, S. I. and Kobayashi, S., Holomorphic bisectional curvature J. Differential Geom. 1 (1967) 225–233.
- [11] Klingenberg, W., Riemannian geometry, W. de Gruyter, (1982).
- [12] Li, P. and Wang, J.-P.. Comparison theorem for Kähler manifolds and positivity of spectrum. J. Differential Geom. 69 (2005), no. 1, 43–74.
- [13] Miquel, V. and Palmer, V., Mean curvature comparison for tubular hypersurfaces in äler manifolds and some applications (English summary) Compositio Math. 86 (1993), no. 3, 317–335.
- [14] Mori, S., Projective manifolds with ample tangent bundles, Ann. of Math. (2) 110 (1979), no. 3, 593-606.
- [15] Perelman, G., The entropy formula for the Ricci flow and its geometric applications, arXiv:math.DG/0211159.
- [16] Perelman, G., Ricci flow with surgery on three-manifolds, arXiv: math.DG/0303109
- [17] Schoen, R., Variational theory for the total scalar curvature functional for Riemannian metrics and related topics, in *Topics in calculus of variations* (Montecatini Terme, 1987), 120–154, Lecture Notes in Math., 1365, Springer, Berlin (1989).
- [18] Siu, Y.-T. and Yau, S.-T., Compact Kähler manifolds of positive bisectional curvature, Invent. Math. 59 (1980), no. 2, 189–204.
- [19] Tian, G., Canonical metrics in Kähler geometry, Notes taken by Meike Akveld. Lectures in Mathematics ETH Zürich. Birkhuser Verlag, Basel, 2000.
- [20] Yau, S.-T., On the Ricci curvature of a compact Kähler manifold and the complex Monge-Ampère equation, I. Comm. Pure Appl. Math. 31 (1978), no. 3, 339–411.

THE INSTITUTE OF MATHEMATICAL SCIENCES AND DEPARTMENT OF MATH-EMATICS, THE CHINESE UNIVERSITY OF HONG KONG, SHATIN, HONG KONG, CHINA.

E-mail address: lftam@math.cuhk.edu.hk

Department of Mathematics, Shantou University, Shantou, Guangdong, China

E-mail address: cjyu@stu.edu.cn

14