On the spectral radius of a class of non-odd-bipartite even uniform hypergraphs^{*}

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Abstract: In order to investigate the non-odd-bipartiteness of even uniform hypergraphs, starting from a simple graph G, we construct a generalized power of G, denoted by $G^{k,s}$, which is obtained from G by blowing up each vertex into a k-set and each edge into a (k-2s)-set, where $s \leq k/2$. When s < k/2, $G^{k,s}$ is always odd-bipartite. We show that $G^{k,\frac{k}{2}}$ is non-odd-bipartite if and only if G is non-bipartite, and find that $G^{k,\frac{k}{2}}$ has the same adjacency (respectively, signless Laplacian) spectral radius as G. So the results involving the adjacency or signless Laplacian spectral radius of a simple graph G hold for $G^{k,\frac{k}{2}}$. In particular, we characterize the unique graph with minimum adjacency or signless Laplacian spectral radius among all non-odd-bipartite hypergraphs $G^{k,\frac{k}{2}}$ of fixed order, and prove that $\sqrt{2+\sqrt{5}}$ is the smallest limit point of the non-odd-bipartite hypergraphs $G^{k,\frac{k}{2}}$. In addition we obtain some results for the spectral radii of the weakly irreducible nonnegative tensors.

Keywords: Hypergraph; non-odd-bipartiteness; adjacency tensor; signless Laplacian tensor; spectral radius

1 Introduction

Hypergraphs are a generalization of simple graphs. They are really handy to show complex relationships found in the real world. A hypergraph G = (V(G), E(G)) is a set of vertices say $V(G) = \{v_1, v_2, \ldots, v_n\}$ and a set of edges, say $E(G) = \{e_1, e_2, \ldots, e_m\}$ where $e_j \subseteq V(G)$. If $|e_j| = k$ for each $j = 1, 2, \ldots, m$, then G is called a k-uniform hypergraph. In particular, the 2uniform hypergraphs are exactly the classical simple graphs. The degree d_v of a vertex $v \in V(G)$ is defined as $d_v = |\{e_j : v \in e_j \in E(G)\}|$. A walk W of length l in G is a sequences of alternate vertices and edges: $v_0, e_1, v_1, e_2, \ldots, e_l, v_l$, where $\{v_i, v_{i+1}\} \subseteq e_i$ for $i = 0, 1, \ldots, l - 1$. If $v_0 = v_l$, then W is called a *circuit*. A walk in G is called a *path* if no vertices or edges are repeated. A circuit in G is called a *cycle* if no vertices or edges are repeated. The hypergraph G is said to be

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connected if every two vertices are connected by a walk. A hypergraph H is a sub-hypergraph of G if $V(H) \subseteq V(G)$ and $E(H) \subseteq E(G)$, and H is a proper sub-hypergraph of G if $V(H) \subsetneq V(G)$ or $E(H) \subsetneq E(G)$.

In recent years spectral hypergraph theory has emerged as an important field in algebraic graph theory. Let G be a k-uniform hypergraph. The *adjacency tensor* $\mathcal{A} = \mathcal{A}(G) = (a_{i_1 i_2 \dots i_k})$ of G is a kth order n-dimensional symmetric tensor, where

$$a_{i_1i_2\dots i_k} = \begin{cases} \frac{1}{(k-1)!} & \text{if } \{v_{i_1}, v_{i_2}, \dots, v_{i_k}\} \in E(G);\\ 0 & \text{otherwise.} \end{cases}$$

Let $\mathcal{D} = \mathcal{D}(G)$ be a *k*th order *n*-dimensional diagonal tensor, where $d_{i...i} = d_{v_i}$ for all $i \in [n] := \{1, 2, ..., n\}$. Then $\mathcal{L} = \mathcal{L}(G) = \mathcal{D}(G) - \mathcal{A}(G)$ is the Laplacian tensor of the hypergraph G, and $\mathcal{Q} = \mathcal{Q}(G) = \mathcal{D}(G) + \mathcal{A}(G)$ is the signless Laplacian tensor of G.

Qi [15] showed that $\rho(\mathcal{L}(G)) \leq \rho(\mathcal{Q}(G))$, and posed a question of identifying the conditions under which the equality holds. Hu et al. [9] proved that if G is connected, then the equality holds if and only if k is even and G is odd-bipartite. Here an even uniform hypergraph G is called *odd-bipartite* if V(G) has a bipartition $V(G) = V_1 \cup V_2$ such that each edge has an odd number of vertices in both V_1 and V_2 . Such partition will be called an *odd-bipartition* of G. Shao et al. [17] proved a stronger result that the Laplacian H-spectrum (respectively, Laplacian spectrum) and signless Laplacian H-spectrum (respectively, Laplacian spectrum) of a connected k-uniform hypergraph G are equal if and only if k is even and G is odd-bipartite. They also proved that the adjacency H-spectrum of G (respectively, adjacency spectrum) is symmetric with respect to the origin if and only if k is even and G is odd-bipartite. So, the non-odd-bipartite even uniform hypergraphs are more interesting on distinguishing the Laplacian spectrum and signless Laplacian spectrum and studying the non-symmetric adjacency spectrum.

Hu, Qi and Shao [10] introduced the cored hypergraphs and the power hypergraphs, where the cored hypergraph is one such that each edge contains at least one vertex of degree 1, and the k-th power of a simple graph G, denoted by G^k , is obtained by replacing each edge (a 2-set) with a k-set by adding k - 2 new vertices. These two kinds of hypergraphs are both odd-bipartite.

Peng [13] introduced s-path and s-cycle. Suppose $1 \le s \le k-1$. An s-path P of length d is a k-uniform hypergraph on s + d(k-s) vertices, say $v_1, v_2, \ldots, v_{s+d(k-s)}$, such that $\{v_{1+j(k-s)}, v_{2+j(k-s)}, \ldots, v_{s+(j+1)(k-s)}\}$ is an edge of P for $j = 0, \ldots, d-1$. An s-cycle C of length d is a k-uniform hypergraph on d(k-s) vertices, say $v_1, v_2, \ldots, v_{d(k-s)}$, such that $\{v_{1+j(k-s)}, v_{2+j(k-s)}, \ldots, v_{s+(j+1)(k-s)}\}$ is an edge of C for $j = 0, \ldots, d-1$, where $v_{d(k-s)+j} = v_j$ for $j = 1, \ldots, s$. When $1 \le s < \frac{k}{2}$, an s-path or s-cycle is a cored hypergraph and hence it is odd-bipartite.

Up to now, the construction of non-odd-bipartite hypergraphs has rarely appeared. In Section 2 we proved that an *s*-path is always odd-bipartite. But this does not hold for *s*-cycles. However, when s = k/2 for k being even, an *s*-cycle is odd-bipartite if and only if its length is even, which is consistent with the result on the bipartiteness of a simple cycle. Motivated by the discussion of *s*-cycles, we introduce a class of *k*-uniform hypergraphs, which is obtained from a simple graph by blowing up vertices and/or edges. **Definition 1.1** Let G = (V, E) be a simple graph. For any $k \ge 3$ and $1 \le s \le k/2$, the generalized power of G, denoted by $G^{k,s}$, is defined as the k-uniform hypergraph with the vertex set $\{\mathbf{v} : v \in V\} \cup \{\mathbf{e} : e \in E\}$, and the edge set $\{\mathbf{u} \cup \mathbf{v} \cup \mathbf{e} : e = \{u, v\} \in E\}$, where \mathbf{v} is an s-set containing v and \mathbf{e} is a (k-2s)-set corresponding to e.

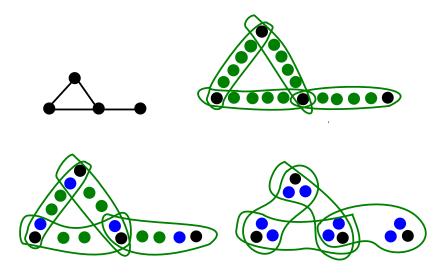


Fig. 1.1 Constructing power hypergraphs G^6 (right upper), $G^{6,2}$ (left below) and $G^{6,3}$ (right below) from a simple graph G (left upper), where a closed curve represents an edge

Intuitively, $G^{k,s}$ is obtained from G by replacing each vertex v by an s-subset \mathbf{v} and each edge $\{u, v\}$ by a k-set obtained from $\mathbf{u} \cup \mathbf{v}$ by adding (k - 2s) new vertices; see Fig. 1.1 for illustration. If s = 1, then $G^{k,s}$ is exactly the k-th power hypergraph of G. When G is a path or a cycle, then $G^{k,s}$ is an s-path or s-cycle for $s \leq k/2$. So the notion $G^{k,s}$ is a generalization of the above hypergraphs.

Note that if s < k/2, then $G^{k,s}$ is a cored hypergraphs and hence is odd-bipartite. If s = k/2, then $G^{k,s}$ is obtained from G by only blowing up its vertices. In this case, $\{u, v\}$ is an edge of G if and only $\mathbf{u} \cup \mathbf{v}$ is an edge of $G^{k,\frac{k}{2}}$, where we use the black font \mathbf{v} to denote the blowing-up of the vertex v in G. For simplicity, we write uv rather than $\{u, v\}$, \mathbf{uv} rather than $\mathbf{u} \cup \mathbf{v}$, and call \mathbf{u} a half edge of $G^{k,\frac{k}{2}}$. In Section 2, we show that $G^{k,\frac{k}{2}}$ is non-odd-bipartite if and only if Gis non-bipartite. So, we here give an explicit construction of non-odd-bipartite hypergraphs.

Another problem is how to apply the spectral theory of simple graphs to that of hypergraphs. In Section 3, we find that $G^{k,\frac{k}{2}}$ has the same adjacency (respectively, signless Laplacian) spectral radius as G. So the results involving the adjacency or signless Laplacian spectral radius of a simple graph G hold for $G^{k,\frac{k}{2}}$. Here we concern two problems: the minimum adjacency or signless Laplacian spectral radius and the smallest limit point of the graphs $G^{k,\frac{k}{2}}$, which are addressed in Section 4 respectively.

In the paper [11] the authors proved that the smallest limit point of the adjacency spectral radii of the connected k-uniform hypergraphs is $\rho_k = (k-1)!\sqrt[k]{4}$. (Note that if using our definition for the adjacency tensor, the limit point would be $\sqrt[k]{4}$.) They also classified all

connected k-uniform hypergraphs with spectral radii at most ρ_k , which are all cored hypergraphs for $k \geq 5$. (They used the notion of "reducible hypergraphs" instead of cored hypergraphs.) Even for k = 4, those graphs are not cored hypergraphs but still odd-bipartite hypergraphs. So, the next problem is to investigate the smallest limit point of the adjacency spectral radii of the connected k-uniform non-odd-bipartite hypergraphs. We start this problem by considering the class of hypergraphs $G^{k,\frac{k}{2}}$ where G is non-bipartite.

It is known that a uniform hypergraph is connected if and only if its adjacency tensor is weakly irreducible. There are many results on the spectral theory of irreducible or weakly irreducible nonnegative tensor, e.g. [1, 6, 18, 19, 20]. However, to investigate the spectral radius of the adjacency tensor (or signless Laplacian tensor), we still need more results on the weakly irreducible nonnegative tensors. This will be discussed in Section 3.

2 Odd-bipartiteness of hypergraphs

We first discuss the odd-bipartiteness of *s*-paths and *s*-cycles.

Lemma 2.1 An s-path is always odd-bipartite where $\frac{k}{2} \le s \le k-1$.

Proof. Let P be an s-path of length d. If d = 1, the assertion holds clearly. Assume the assertion holds for all s-paths of length d < m. We prove it by induction on the length. Consider an s-path P of length m. Let e_m be the last edge of P. Note that $P - e_m$ is an s-path, say P' of length m - 1, together with k - s isolated vertices. By induction, P' is odd-bipartite, which has an odd-bipartition $V(P') = V_1 \cup V_2$. Now, if $|V_1 \cap e_m|$ is odd, put all vertices of $e_m \setminus V(P')$ into V_2 . Otherwise, take one vertex from $e_m \setminus V(P')$ and put it into V_1 , and put the remaining into V_2 . Then we get an odd-bipartition of P.

What about the odd-bipartiteness of s-cycles when $\frac{k}{2} \leq s \leq k-1$? We first discuss the case of $s = \frac{k}{2}$. In this case, we use the notation $C_m^{k,\frac{k}{2}}$ instead, where C_m denote a simple cycle of length m.

Lemma 2.2 The cycle $C_m^{k,\frac{k}{2}}$ is odd-bipartite if and only if m is even.

Proof. Let $C := C_m^{k, \frac{k}{2}}$. We have a partition of $V(C) = V_1 \cup V_2 \cup \cdots \cup V_m$ such that $e_i := V_i \cup V_{i+1}$ is an edge of C for $i = 1, 2, \ldots, m$, where $V_{m+1} = V_1$. Suppose that C is odd-bipartite, which has an odd-bipartition. We color the vertices in one part of the bipartition with red, and color the vertices in the other part with blue. Note that $e_1 = V_1 \cup V_2$ contains an odd number of red vertices. Without loss of generality, V_1 contains an odd number of red vertices. So V_2 contains an even number of red vertices, and then V_3 contains an odd number of red vertices by considering the edge e_2 . Repeating the above discussion, we get that V_m contains an odd number of red vertices if m is odd, and even number of red vertices otherwise. However, if m is odd, then the edge $e_m = V_m \cup V_1$ would contain an even number of red vertices, a contradiction. So m is necessarily even. On the other hand, if m is even, it is easy to give an odd-bipartition of C.

For general case, it may not be easy to determine under which conditions an s-cycle is oddbipartite when $\frac{k}{2} < s \leq k - 1$. For example, let k = 4, a 3-cycle of length 8 is odd-bipartite, but a 3-cycle of length 6 is non-odd-bipartite. We will not investigate this problem further in this paper. By Lemma 2.2, $C_m^{k,\frac{k}{2}}$ is non-odd-bipartite if and only if C_m is non-bipartite. We generalize this fact as follows.

Theorem 2.3 The hypergraph $G^{k,\frac{k}{2}}$ is non-odd-bipartite if and only if G is non-bipartite.

Proof. We prove an equivalent assertion: $G^{k,\frac{k}{2}}$ is odd-bipartite if and only if G is bipartite. Assume that $G^{k,\frac{k}{2}}$ is odd-bipartite. If G is a forest, surely it is bipartite. Otherwise, any cycle of $G^{k,\frac{k}{2}}$ must has the form $C_m^{k,\frac{k}{2}}$ for some positive integer m. Then $C_m^{k,\frac{k}{2}}$ is also odd-bipartite and hence C_m is bipartite by Lemma 2.2. So, G is bipartite.

On the contrary, assume that G is bipartite, with a bipartition (V_1, V_2) . Extend this bipartition to a bipartition $(\mathbf{V}_1, \mathbf{V}_2)$ of $G^{k, \frac{k}{2}}$, that is, \mathbf{V}_1 (respectively, \mathbf{V}_2) is obtained by replacing each vertex in V_1 (respectively V_2) by the corresponding half edge. Choosing an arbitrary vertex from each half edge in \mathbf{V}_1 and forming a new set U_1 , then $(U_1, V(G^{k, \frac{k}{2}}) \setminus U_1)$ is an odd-bipartition of $G^{k, \frac{k}{2}}$.

3 Spectral radii and eigenvectors of hypergraphs

For integers $k \geq 3$ and $n \geq 2$, a real tensor (also called hypermatrix) $\mathcal{T} = (t_{i_1...i_k})$ of order kand dimension n refers to a multidimensional array with entries $t_{i_1...i_k}$ such that $t_{i_1...i_k} \in \mathbb{R}$ for all $i_j \in [n]$ and $j \in [k]$. The tensor \mathcal{T} is called symmetric if its entries are invariant under any permutation of their indices. Given a vector $x \in \mathbb{R}^n$, $\mathcal{T}x^k$ is a real number, and $\mathcal{T}x^{k-1}$ is an n-dimensional vector, which are defined as follows:

$$\mathcal{T}x^{k} = \sum_{i_{1}, i_{2}, \dots, i_{k} \in [n]} t_{i_{1}i_{2}\dots i_{k}} x_{i_{1}} x_{i_{2}} \cdots x_{i_{k}}, \ (\mathcal{T}x^{k-1})_{i} = \sum_{i_{2}, \dots, i_{k} \in [n]} t_{ii_{2}\dots i_{k}} x_{i_{2}} \cdots x_{i_{k}} \text{ for } i \in [n].$$

Let \mathcal{I} be the *identity tensor* of order k and dimension n, that is, $i_{i_1i_2...i_k} = 1$ if and only if $i_1 = i_2 = \cdots = i_k \in [n]$ and zero otherwise.

Definition 3.1 [16] Let \mathcal{T} be a kth order n-dimensional real tensor. For some $\lambda \in \mathbb{C}$, if the polynomial system $(\lambda \mathcal{I} - \mathcal{T})x^{k-1} = 0$, or equivalently $\mathcal{T}x^{k-1} = \lambda x^{[k-1]}$, has a solution $x \in \mathbb{C}^n \setminus \{0\}$, then λ is called an eigenvalue of \mathcal{T} and x is an eigenvector of \mathcal{T} associated with λ , where $x^{[k-1]} := (x_1^{k-1}, x_2^{k-1}, \dots, x_n^{k-1}) \in \mathbb{C}^n$.

If x is a real eigenvector of \mathcal{T} , surely the corresponding eigenvalue λ is real. In this case, x is called an *H*-eigenvector and λ is called an *H*-eigenvalue. Furthermore, if $x \in \mathbb{R}^n_+$ (the set of nonnegative vectors of dimension n), then λ is called an H^+ -eigenvalue of \mathcal{T} ; if $x \in \mathbb{R}^n_{++}$ (the set of positive vectors of dimension n), then λ is said to be an H^{++} -eigenvalue of \mathcal{T} . The spectral radius of \mathcal{T} is defined as

$$\rho(\mathcal{T}) = \max\{|\lambda| : \lambda \text{ is an eigenvalue of } \mathcal{T}\}.$$

To generalize the classical Perron-Frobenius Theorem from nonnegative matrices to nonnegative tensors, we need the definition of the irreducibility of tensor. Chang et al. [1] introduced the irreducibility of tensor. A tensor $\mathcal{T} = (t_{i_1...i_k})$ of order k and dimension n is called *reducible* if there exists a nonempty proper subset $I \subsetneq [n]$ such that $t_{i_1i_2...i_k} = 0$ for any $i_1 \in I$ and any $i_2, \ldots, i_k \notin I$. If \mathcal{T} is not reducible, then it is called *irreducible*.

Friedland et al. [6] proposed a weak version of irreducible nonnegative tensors \mathcal{T} . The graph associated with \mathcal{T} , denoted by $G(\mathcal{T})$, is the directed graph with vertices $1, \ldots, n$ and an edge from *i* to *j* if and only if $t_{i_1i_2...i_k} > 0$ for some $i_l = j, l = 2, \ldots, m$. The tensor \mathcal{T} is called *weakly irreducible* if $G(\mathcal{T})$ is strongly connected. Surely, an irreducible tensor is always weakly irreducible. Pearson and Zhang [12] proved that the adjacency tensor of a uniform hypergraph *G* is weakly irreducible if and only if *G* is connected. Clearly, this shows that if *G* is connected, then $\mathcal{A}(G), \mathcal{L}(G)$ and $\mathcal{Q}(G)$ are all weakly irreducible.

Theorem 3.2 (The Perron-Frobenius Theorem for Nonnegative Tensors)

1. (Yang and Yang 2010 [18]) If \mathcal{T} is a nonnegative tensor of order k and dimension n, then $\rho(\mathcal{T})$ is an H⁺-eigenvalue of \mathcal{T} .

2. (Frieland, Gaubert and Han 2011 [6]) If furthermore \mathcal{T} is weakly irreducible, then $\rho(\mathcal{T})$ is the unique H^{++} -eigenvalue of \mathcal{T} , with the unique eigenvector $x \in \mathbb{R}^{n}_{++}$, up to a positive scaling coefficient.

3. (Chang, Pearson and Zhang 2008 [1]) If moreover \mathcal{T} is irreducible, then $\rho(\mathcal{T})$ is the unique H^+ -eigenvalue of \mathcal{T} , with the unique eigenvector $x \in \mathbb{R}^n_+$, up to a positive scaling coefficient.

Theorem 3.3 [18, 20] Let \mathcal{B}, \mathcal{C} be order k dimension n tensors satisfying $|\mathcal{B}| \leq \mathcal{C}$, where \mathcal{C} is weakly irreducible. Let β be an eigenvalue of \mathcal{B} . Then

(1)
$$|\beta| \leq \rho(\mathcal{C})$$
.
(2) if $\beta = \rho(\mathcal{C})e^{i\varphi}$ and y is corresponding eigenvector, then all entries of y are nonzero, and
 $\mathcal{C} = e^{-i\varphi}\mathcal{B} \cdot D^{-(k-1)} \cdot \overbrace{D \cdots D}^{k-1}$, where $D = diag(\frac{y_1}{|y_1|}, \frac{y_2}{|y_2|}, \dots, \frac{y_n}{|y_n|})$.

Corollary 3.4 Suppose $0 \leq \mathcal{B} \leq \mathcal{C}$, where \mathcal{C} is weakly irreducible. Then $\rho(\mathcal{B}) < \rho(\mathcal{C})$.

Proof. By Theorem 3.2(1), $\rho(\mathcal{B})$ is an eigenvalue of \mathcal{B} , with a nonnegative eigenvector y. By Theorem 3.3, $\rho(\mathcal{B}) \leq \rho(\mathcal{C})$. If $\rho(\mathcal{B}) = \rho(\mathcal{C})$, then, also by Theorem 3.3, y > 0, and hence $\mathcal{B} = \mathcal{C}$; a contradiction.

Corollary 3.5 Suppose G is a connected k-uniform hypergraph and H is a proper sub-hypergraph of G. Then $\rho(\mathcal{A}(H)) < \rho(\mathcal{A}(G))$ and $\rho(\mathcal{Q}(H)) < \rho(\mathcal{Q}(G))$.

Proof. We only consider the adjacency tensor. The other case can be discussed in a similar manner. Observe that $\mathcal{A}(G)$ is weakly irreducible. First assume V(H) = V(G). Then $\mathcal{A}(H) \leq \mathcal{A}(G)$, which implies the result by Corollary 3.4. Secondly assume $V(H) \subsetneq V(G)$. Add the isolated vertices of $V(G) \setminus V(H)$ to H such that the resulting hypergraph, say H', has the same

vertex set as G. Then $\rho(\mathcal{A}(H)) = \rho(\mathcal{A}(H'))$; or see [21, Theorem 3.2]. Noting that $\mathcal{A}(H')) \leq \mathcal{A}(G)$, we also get the result.

Let $\mathcal{B} \geq 0$. Let $x \in \mathbb{R}^n_{++}$. Denote

$$r_i(\mathcal{B}) = \sum_{i_2,\dots,i_k=1}^n b_{ii_2\dots i_k}, \ s_i(\mathcal{B}, x) = \frac{(\mathcal{B}x^{k-1})_i}{x_i^{k-1}}, \ \text{for } i = 1, 2, \dots, n.$$

The following two results give bounds for the spectral radius $\rho(\mathcal{B})$ of a general nonnegative tensor \mathcal{B} . Here we impose an additional condition on \mathcal{B} , that is, \mathcal{B} is weakly irreducible, and characterize the equality cases.

Lemma 3.6 [18, Lemma 5.2] Let $\mathcal{B} \geq 0$. Then

$$\min_{1 \le i \le n} r_i(\mathcal{B}) \le \rho(\mathcal{B}) \le \max_{1 \le i \le n} r_i(\mathcal{B}).$$
(3.1)

Lemma 3.7 [18, Lemma 5.3] Let $\mathcal{B} \ge 0$, and let $x \in \mathbb{R}^n_{++}$. Then

$$\min_{1 \le i \le n} s_i(\mathcal{B}, x) \le \rho(\mathcal{B}) \le \max_{1 \le i \le n} s_i(\mathcal{B}, x).$$
(3.2)

Lemma 3.8 Let $\mathcal{B} \ge 0$ and $x \in \mathbb{R}^{n}_{++}$. Suppose that \mathcal{B} is weakly irreducible. Then either equality in (3.1) holds if and only if $r_1(\mathcal{B}) = r_2(\mathcal{B}) = \cdots = r_n(\mathcal{B})$; either equality in (3.2) holds if and only if $\mathcal{B}x^{k-1} = \rho(\mathcal{B})x^{[k-1]}$.

Proof. For completeness we restate the proof of (3.1) and (3.2) as in [18]. We first consider the equality cases of (3.1). Let $\alpha = \min_{1 \leq i \leq n} r_i$. If $\alpha = 0$, surely $\rho(\mathcal{B}) \geq \alpha = 0$, and $\rho(\mathcal{B}) = 0$ if and only if $\mathcal{B} = 0$ (see [21, Theorem 3.1]). So we assume that $\alpha > 0$. Let \mathcal{C} be a tensor with the same order and dimension as \mathcal{B} whose entries are defined as $c_{i_1i_2...i_k} = \frac{\alpha}{r_{i_1}(\mathcal{B})} b_{i_1i_2...i_k}$. Then $0 \leq \mathcal{C} \leq \mathcal{B}$, and by Theorem 3.3(1), $\rho(\mathcal{B}) \geq \rho(\mathcal{C})$. In addition, $r_i(\mathcal{C}) = \alpha$ for each i = 1, 2, ..., n, which implies $\rho(\mathcal{C}) = \alpha$ (see [18, Lemma 5.1]). So we get $\rho(\mathcal{B}) \geq \rho(\mathcal{C}) = \alpha$. If $\rho(\mathcal{B}) = \alpha$, then $\rho(\mathcal{B}) = \rho(\mathcal{C})$, and then $\mathcal{B} = \mathcal{C}$ by Corollary 3.4. This implies that $r_i(\mathcal{B}) = \alpha$ for each i = 1, 2, ..., n, and the necessity holds. The sufficiency is easily verified by [18, Lemma 5.1]. For the right equality of (3.1), the proof is similar.

Next we consider the equality cases of (3.2). Let $D = \text{diag}(x_1, x_2, \ldots, x_n)$, and let $\mathcal{E} = \mathcal{B} \cdot D^{-(k-1)} \cdot \overbrace{\mathcal{D} \cdots \mathcal{D}}^{k-1}$. Then \mathcal{E} and \mathcal{B} have the same eigenvalues ([20, Theorem 2.7]), which yields $\rho(\mathcal{B}) = \rho(\mathcal{E})$. In addition, \mathcal{E} is also weakly irreducible. Noting that $r_i(\mathcal{E}) = s_i(\mathcal{B}, x)$ for $i = 1, 2, \ldots, n$. By (3.1),

$$\min_{1 \le i \le n} s_i(\mathcal{B}, x) = \min_{1 \le i \le n} r_i(\mathcal{E}) \le \rho(\mathcal{E}) \le \max_{1 \le i \le n} r_i(\mathcal{E}) = \max_{1 \le i \le n} s_i(\mathcal{B}, x).$$

If the right equality holds, then all $r_i(\mathcal{E})$, and hence all $s_i(\mathcal{B}, x)$, have the same value, i.e. $\mathcal{B}x^{k-1} = \rho(\mathcal{B})x^{[k-1]}$. The proof for the right equality is similar.

Corollary 3.9 Suppose that \mathcal{B} is a weakly irreducible nonnegative tensor. If there exists a vector $y \ge 0$ such that $\mathcal{B}y^{k-1} \le \mu y^{[k-1]}$ (respectively, $\mathcal{B}y^{k-1} \ge \mu y^{[k-1]}$), then $\rho(\mathcal{B}) < \mu$ (respectively, $\rho(\mathcal{B}) > \mu$).

Proof. Assume that $\mathcal{B}y^{k-1} \leq \mu y^{[k-1]}$. By a similar discussion as in the proof of Theorem 1.4 (1) of [1], we get y > 0. From the inequality and by Lemma 3.7,

$$\rho(\mathcal{B}) \le \max_{1 \le i \le n} s_i(\mathcal{B}, y) \le \mu.$$

If $\rho(\mathcal{B}) = \mu$, then $\rho(\mathcal{B}) = \max_{1 \le i \le n} s_i(\mathcal{B}, y)$, which implies that $\mathcal{B}y^{k-1} = \rho(\mathcal{B})y^{[k-1]}$, a contradiction to the assumption.

Next we assume that $\mathcal{B}y^{k-1} \ge \mu y^{[k-1]}$. By Theorem 5.3 of [18],

$$\rho(\mathcal{B}) = \max_{x \ge 0} \min_{x_i > 0} s_i(\mathcal{B}, x) \ge \mu$$

If $\rho(\mathcal{B}) = \mu$, then $\mathcal{B}y^{k-1} \ge \rho(\mathcal{B})y^{[k-1]}$, which implies that $\mathcal{B}y^{k-1} = \rho(\mathcal{B})y^{[k-1]}$ by Lemma 3.5 of [20] as \mathcal{B} is weakly irreducible; a contradiction.

For the adjacency tensor of a k-uniform hypergraph G, the eigenvector equation $\mathcal{A}(G)x^{k-1} = \lambda x^{[k-1]}$ could be interpreted as

$$\lambda x_u^{k-1} = \sum_{\{u, u_2, u_3, \dots, u_k\} \in E(G)} x_{u_2} x_{u_3} \cdots x_{u_k}, \text{ for each } u \in V(G).$$
(3.3)

The eigenvector equation $\mathcal{Q}(G)x^{k-1} = \lambda x^{[k-1]}$ could be interpreted as

$$[\lambda - d(u)]x_u^{k-1} = \sum_{\{u, u_2, u_3, \dots, u_k\} \in E(G)} x_{u_2} x_{u_3} \cdots x_{u_k}, \text{ for each } u \in V(G).$$
(3.4)

A hypergraph G is *isomorphic* to a hypergraph H, if there exists a bijection $\sigma : V(G) \to V(H)$ such that $\{v_1, v_2, \ldots, v_k\} \in E(G)$ if and only if $\{\sigma(v_1), \sigma(v_2), \ldots, \sigma(v_k)\} \in E(H)$. The bijection σ is called an *isomorphism* of G and H. If G = H, then σ is called an *automorphism* of G. Let x be a vector defined on V(G). Denote x_{σ} to be the vector such that $(x_{\sigma})_u = x_{\sigma(u)}$ for each $u \in V(G)$.

Lemma 3.10 Let G be a k-uniform hypergraph and σ be an automorphism of G. Let x be an eigenvector of $\mathcal{A}(G)$ (respectively, $\mathcal{L}(G)$, $\mathcal{Q}(G)$) associated with an eigenvalue λ . Then x_{σ} is also an eigenvector of $\mathcal{A}(G)$ (respectively, $\mathcal{L}(G)$, $\mathcal{Q}(G)$) associated with λ .

Proof. Let $u \in V(G)$ be an arbitrary but fixed vertex. By Eq. (3.3), we have

$$(\mathcal{A}(G)x_{\sigma}^{k-1})_{u} = \sum_{\{u,u_{2},\dots,u_{k}\}\in E(G)} (x_{\sigma})_{u_{2}}(x_{\sigma})_{u_{3}}\cdots(x_{\sigma})_{u_{k}}$$

$$= \sum_{\{u,u_{2},\dots,u_{k}\}\in E(G)} x_{\sigma(u_{2})}x_{\sigma(u_{3})}\cdots x_{\sigma(u_{k})}$$

$$= \sum_{\{\sigma(u),\sigma(u_{2}),\dots,\sigma(u_{k})\}\in E(G)} x_{\sigma(u_{2})}x_{\sigma(u_{3})}\cdots x_{\sigma(u_{k})}$$

$$= \lambda x_{\sigma(u)}^{k-1}$$

$$= \lambda(x_{\sigma})_{u}^{k-1},$$

where the fourth equality is obtained from the eigenvector equation. Hence x_{σ} is also an eigenvector of $\mathcal{A}(G)$ associated with the eigenvalue λ . The proof for $\mathcal{L}(G)$ and $\mathcal{Q}(G)$ is similar by the fact $d_u = d_{\sigma(u)}$ for each $u \in V(G)$.

Lemma 3.11 Let G be a connected simple graph, and let x > 0 be an eigenvector of $\mathcal{A}(G^{k,\frac{k}{2}})$ (respectively, $\mathcal{Q}(G^{k,\frac{k}{2}})$). If u and v are the vertices in the same half edge of $G^{k,\frac{k}{2}}$, then $x_u = x_v$.

Proof. Let σ be a permutation of $V(G^{k,\frac{k}{2}})$ such that it interchanges u and v and fix all other vertices. It is easily seen that σ is an automorphism of $G^{k,\frac{k}{2}}$. Then by Lemma 3.10, x_{σ} is also an eigenvector of $\mathcal{A}(G^{k,\frac{k}{2}})$ (respectively, $\mathcal{Q}(G^{k,\frac{k}{2}})$). By Theorem 3.2(2), $\mathcal{A}(G^{k,\frac{k}{2}})$ (respectively, $\mathcal{Q}(G^{k,\frac{k}{2}})$) has a unique H^{++} -eigenvector up to a multiple, so $x_{\sigma} = x$, which implies the result.

Let G, x be defined as in Lemma 3.11. We will use $x_{\mathbf{v}}$ to denote the common value of the vertices in the half edge \mathbf{v} .

Lemma 3.12 Let G be a connected simple graph, and let x > 0 be vector defined on V(G). Let $\mathbf{x} > 0$ be a vector defined on $V(G^{k,\frac{k}{2}})$ such that $\mathbf{x}_u = x_v^{\frac{2}{k}}$ for each vertex $u \in \mathbf{v}$. Then x is an eigenvector of A(G) (respectively, $\mathcal{Q}(G)$) corresponding to the spectral radius ρ if and only if \mathbf{x} is an eigenvector of $A(G^{k,\frac{k}{2}})$ (respectively, $\mathcal{Q}(G^{k,\frac{k}{2}})$) corresponding to the spectral radius ρ . Hence $\rho(A(G)) = \rho(\mathcal{A}(G^{k,\frac{k}{2}}))$ and $\rho(Q(G)) = \rho(\mathcal{Q}(G^{k,\frac{k}{2}}))$.

Proof. Let $\mathbf{x}_{\mathbf{v}}$ be the common value of the vertices in \mathbf{v} given by \mathbf{x} . The result follows by the following equivalent equations:

$$\rho x_{u} = \sum_{uv \in E(G)} x_{v} \Leftrightarrow \rho \mathbf{x}_{u}^{\frac{k}{2}} = \sum_{\mathbf{uv} \in E(G^{k, \frac{k}{2}})} \mathbf{x}_{v}^{\frac{k}{2}} \Leftrightarrow \rho \mathbf{x}_{u}^{k-1} = \sum_{\mathbf{uv} \in E(G^{k, \frac{k}{2}})} \mathbf{x}_{u}^{\frac{k}{2}-1} \mathbf{x}_{v}^{\frac{k}{2}},$$
$$\mathbf{uv} \in E(G^{k, \frac{k}{2}})$$
$$\mathbf{uv} \in E(G^{k, \frac{k}{2}}) \qquad \mathbf{uv} \in E(G^{k, \frac{k}{2}})$$

Lemma 3.12 establishes a relationship between the adjacency or signless Laplacian spectral radii of the simple graphs G and those of a class of hypergraphs $G^{k,\frac{k}{2}}$. So, the results involving the adjacency or signless Laplacian spectral radii of the simple graphs hold for such kind of hypergraphs.

4 Minimum spectral radius and smallest limit point

Let P_n, C_n be the (simple) path and cycle of order n, respectively. Denote \mathcal{G}_n (respectively,^{nb} \mathcal{G}_n) the class of simple connected graphs (respectively, non-bipartite graphs) of order n. Denote $\mathcal{G}_n^{k,\frac{k}{2}} = \{G^{k,\frac{k}{2}} : G \in \mathcal{G}_n\}$ and ${}^{\text{nob}}\mathcal{G}_n^{k,\frac{k}{2}} = \{G^{k,\frac{k}{2}} : G \in {}^{\text{nb}}\mathcal{G}_n\}$. By Lemma 3.12, for a connected graph G, $\rho(A(G)) = \rho(\mathcal{A}(G^{k,\frac{k}{2}}))$ and $\rho(Q(G)) = \rho(\mathcal{Q}(G^{k,\frac{k}{2}}))$. So, the problem of finding the hypergraphs with minimal spectral radius of the adjacency or signless Laplacian tensor among all graphs in $\mathcal{G}_n^{k,\frac{k}{2}}$ (respectively, ${}^{\text{nob}}\mathcal{G}_n^{k,\frac{k}{2}}$) is equivalent to that of finding the simple graphs with minimal spectral radius of the adjacency or signless Laplacian matrix among all graphs in \mathcal{G}_n (respectively, ${}^{\text{nb}}\mathcal{G}_n$). The results on the limit points of the adjacency or signless Laplacian spectral radii of simple graphs G also hold for the hypergraphs $G^{k,\frac{k}{2}}$. Feng et.al [5] showed that among all graphs in ${}^{nb}\mathcal{G}_n$, the minimum adjacency spectral radius is achieved by C_n for odd n, and by $C_{n-1}+e$ for even n, where $C_{n-1}+e$ denotes the graph obtained from C_{n-1} by appending a pendant edge at some vertex. Similar result holds for the minimum signless Laplacian spectral radius. The proof technique is involved with the perturbation of the spectral radius of a graph after one of its edges is subdivided.

Let G be a simple graph containing an edge uw. Denote by $G_{u,w}$ the graph obtained from G by subdividing the edge uw, that is, by inserting a new vertex say v and forming two new edges uv and vw instead of the original edge uw. An internal path P of G is a sequence of edges u_1, u_2, \ldots, u_l , such that all u_i are distinct (except possibly $u_1 = u_l$), $u_i u_{i+1}$ is an edge of G for $i = 1, 2, \ldots, l-1, d(u_1) \ge 3, d(u_2) = \cdots = d(u_{l-1}) = 2$ (unless l = 2), and $d(u_l) \ge 3$. Hoffman and Smith [7] gave the following result.

Lemma 4.1 [7] Let G be a simple connected graph of order n. If uw is an edge of G not on any internal path, and $G \neq C_n$, then $\rho(A(G_{u,w}) > \rho(A(G)))$. If uw is an edge of G on an internal path, and $G \neq T_n$, then $\rho(A(G_{u,w}) < \rho(A(G)))$, where T_n is obtained from P_{n-4} by appending two pendant edges at each of its two end points.

With respect to the signless Laplacian matrix of a graph, Cvetković and Simć [2], and Feng, Li and Zhang [4] obtained the following similar result.

Lemma 4.2 [2, 4] Let G be a simple connected graph of order n. If uw is an edge of G not on any internal path, and $G \neq C_n$, then $\rho(Q(G_{u,w}) > \rho(Q(G)))$. If uw is an edge of G on an internal path, then $\rho(Q(G_{u,w}) < \rho(Q(G)))$.

By Lemma 3.12, combining with Lemmas 4.1 and 4.2 we will have a parallel result for the graphs $G^{k,\frac{k}{2}}$. We will call $P^{k,\frac{k}{2}}$ an *internal path of the hypergraph* $G^{k,\frac{k}{2}}$, where P is an internal path of G. We use $G^{k,\frac{k}{2}}_{\mathbf{u},\mathbf{w}}$ to denote the hypergraph $(G_{u,w})^{k,\frac{k}{2}}$. Equivalently, $G^{k,\frac{k}{2}}_{\mathbf{u},\mathbf{w}}$ is obtained from $G^{k,\frac{k}{2}}$ by subdividing the edge \mathbf{uw} , that is, by inserting a half edge say \mathbf{v} and forming two new edges \mathbf{uv} and \mathbf{vw} instead of the original edge \mathbf{uw} .

Corollary 4.3 Let G be a connected simple graph of order n. If uw is an edge of G not on any internal path and $G \neq C_n$, then $\rho(\mathcal{A}(G^{k,\frac{k}{2}})) < \rho(\mathcal{A}(G^{k,\frac{k}{2}}_{\mathbf{u},\mathbf{w}}))$ and $\rho(\mathcal{Q}(G^{k,\frac{k}{2}})) < \rho(\mathcal{Q}(G^{k,\frac{k}{2}}_{\mathbf{u},\mathbf{w}}))$.

If uw is an edge of G on an internal path, then $\rho(\mathcal{Q}(G^{k,\frac{k}{2}})) < \rho(\mathcal{Q}(G^{k,\frac{k}{2}}))$. If, in addition, $G \neq T_n$, then $\rho(\mathcal{A}(G^{k,\frac{k}{2}})) > \rho(\mathcal{A}(G^{k,\frac{k}{2}}_{\mathbf{u},\mathbf{w}}))$.

We can also prove Corollary 4.3 by a direct discussion following the approaches of Hoffman and Smith [7], Cvetković and Simć [2], and Feng, Li and Zhang [4], together with the using of Corollary 3.9.

It is known that the path P_n is the unique graph with the minimum adjacency or signless Laplacian spectral radius among all graphs in \mathcal{G}_n . So, by Lemma 3.12 $P_n^{k,\frac{k}{2}}$ is the unique one with the minimum adjacency or signless Laplacian spectral radius among all hypergraphs in $\mathcal{G}_n^{k,\frac{k}{2}}$. Applying Lemma 3.12 and the result of [5, Theorem 3.5] on the adjacency spectral radii of simple graphs, or using Corollaries 3.5 and 4.3, we get the following result on the minimizing hypergraphs in ${}^{\mathrm{nol}}\mathcal{G}_n^{k,\frac{k}{2}}$.

Theorem 4.4 Among all hypergraphs in ${}^{\text{nob}}\mathcal{G}_n^{k,\frac{k}{2}}$, the minimum spectral radius of the adjacency tensor (respectively, the signless Laplacian tensor) is achieved uniquely by $C_n^{k,\frac{k}{2}}$ for odd n, and achieved uniquely by $(C_{n-1} + e)^{k,\frac{k}{2}}$ for even n.

Hoffman [8] observed if a simple graph G properly contains a cycle, then $\rho(A(G)) > \tau^{1/2} + \tau^{-1/2} = \tau^{3/2} = \sqrt{2 + \sqrt{5}}$, where $\tau = (\sqrt{5} + 1)/2$ is the golden mean. He proved that $\tau^{3/2}$ is a limit point, and found all limit points of the adjacency spectral radii less than $\tau^{3/2}$. The work of Hoffman was extended by Shearer [14] to show that every real number $r \ge \tau^{3/2}$ is the limit point of the adjacency spectral radii of simple graphs. Furthermore, Doob [3] proved that for each $r \ge \tau^{3/2}$ (respectively, $r \le -\tau^{3/2}$) and for any k, there exists a sequences of graphs whose kth largest eigenvalue (respectively, kth smallest eigenvalues) converge to r. By Lemma 3.12, we get the following result on the hypergraphs $G_n^{k,\frac{k}{2}} = \bigcup_{n \in \mathbb{N}} G_n^{k,\frac{k}{2}} = \bigcup_{n \in \mathbb{N}} \operatorname{nob} \mathcal{G}_n^{k,\frac{k}{2}} = \bigcup_{n \in \mathbb{N}} \operatorname{nob} \mathcal{G}_n^{k,\frac{k}{2}} = \bigcup_{n \in \mathbb{N}} \operatorname{nob} \mathcal{G}_n^{k,\frac{k}{2}}$.

Theorem 4.5 For $n = 1, 2, ..., let \beta_n$ be the positive root of $P_n(x) = x^{n+1} - (1 + x + x^2 + \cdots + x^{n-1})$. Let $\alpha_n = \beta_n^{1/2} + \beta_n^{-1/2}$. Then $2 = \alpha_1 < \alpha_2 < \cdots$ are all limit points of the hypergraphs in $\mathcal{G}^{k,\frac{k}{2}}$ smaller than $\tau^{1/2} + \tau^{-1/2} = \lim_n \alpha_n$.

Theorem 4.6 For any $r \ge \tau^{3/2}$, there exists a sequences of hypergraphs $G_{n_t}^{k,\frac{k}{2}}$ whose spectral radii converge to r.

The smallest limit point of the adjacency spectral radii of simple graphs is 2, which is realized by a sequence of path. If $r < \tau^{3/2}$ is a limit point, it suffices to consider the trees by Hoffman's observation. The construction of graphs whose adjacency spectral radii converge to $r \ge \tau^{3/2}$ in [3, 14] are trees $T(n_1, n_2, \ldots, n_k)$ called *caterpillars*, which is obtained from a path on vertices v_1, v_2, \ldots, v_k by attaching $n_j \ge 0$ pendant edges at the vertex v_j for each $j = 1, 2, \ldots, k$. We could not find any known sequence of non-bipartite graphs whose adjacency spectral radii converge. However, motivated by an example in Hoffman's work [8], we get the following result.

Lemma 4.7

$$\lim_{n \to \infty} \rho(A(C_{2n+1} + e)) = \tau^{3/2}$$

Proof. Label the vertices of $C_{2n+1}+e$ as follows: the pendant vertex is labeled by v_0 , starting from the vertex of degree 3 the vertices of the cycle is labeled by $v_1, v_2, \ldots, v_{2n+1}$ clockwise. Note that now $e = v_0v_1$. Let x be a unit Perron vector of $A(C_{2n+1}+e)$, and let $\rho := \rho(A(C_{2n+1}+e))$. We assert that $x_{v_1} > x_{v_2} > \cdots > x_{v_{n+1}}$. By symmetry, $x_{v_k} = x_{v_{2n+3-k}}$ for $k = 2, 3, \ldots, n+1$. By the eigenvector equation on the vertex v_{n+1} , noting that $\rho > \tau^{3/2}$, we get

$$x_{v_n} = \rho x_{v_{n+1}} - x_{v_{n+2}} = \rho x_{v_{n+1}} - x_{v_{n+1}} = (\rho - 1) x_{v_{n+1}} > x_{v_{n+1}}.$$

Assume that $x_{v_{n-k+1}} > x_{v_{n-k+2}}$ for $k \ge 1$. Then

$$x_{v_{n-k}} = \rho x_{v_{n-k+1}} - x_{v_{n-k+2}} > (\rho - 1) x_{v_{n-k+1}} > x_{v_{n-k+1}}$$

So we prove the assertion by induction. Note that

$$1 = \sum_{i=0}^{2n+1} x_{v_i}^2 > x_{v_1}^2 + 2(x_{v_2}^2 + \dots + x_{v_{n+1}}^2) > (2n+1)x_{v_{n+1}}^2.$$

So $2x_{v_{n+1}}^2 < \frac{2}{2n+1}$. Noting that $x_{v_{n+1}} = x_{v_{n+2}}$, we have

$$\rho(A(C_{2n+1}+e)) = \sum_{uv \in E(C_{2n+1}+e)} 2x_u x_v$$

= $x^T A(C_{2n+1}+e-v_{n+1}v_{n+2})x + 2x_{v_{n+1}}x_{v_{n+2}}$
< $\rho(A(C_{2n+1}+e-v_{n+1}v_{n+2})) + \frac{2}{2n+1}.$

As $\rho(A(C_{2n+1}+e))$ is decreasing in *n* by Lemma 4.1 and $\rho(A(C_{2n+1}+e)) > \tau^{3/2}$, the limit $\lim_{n\to\infty} \rho(A(C_{2n+1}+e))$ exists. By the above inequality, we have

$$\tau^{3/2} \le \lim_{n \to \infty} \rho(A(C_{2n+1} + e)) \le \lim_{n \to \infty} \rho(A(C_{2n+1} + e - v_{n+1}v_{n+2})) = \tau^{3/2},$$

where the last equality follows from Proposition 3.6 of [8].

By Theorem 2.3 and Lemma 3.12, we get the smallest limit point of the adjacency spectral radii of the non-odd-bipartite hypergraphs in ${}^{\text{nob}}\mathcal{G}^{k,\frac{k}{2}}$.

Corollary 4.8 The value $\tau^{3/2}$ is the smallest limit point of the spectral radii of the adjacency tensors of the non-odd-bipartite hypergraphs in ${}^{\text{nob}}\mathcal{G}^{k,\frac{k}{2}}$.

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