

Non-existence of points rational over number fields on Shimura curves

Keisuke Arai

Abstract

Jordan, Rotger and de Vera-Piquero proved that Shimura curves have no points rational over imaginary quadratic fields under a certain assumption. In this article, we expand their results to the case of number fields of higher degree. We also give counterexamples to the Hasse principle on Shimura curves.

1 Introduction

Let B be an indefinite quaternion division algebra over \mathbb{Q} , and $d(B)$ its discriminant. Fix a maximal order \mathcal{O} of B . A *QM-abelian surface by \mathcal{O}* over a field F is a pair (A, i) where A is a 2-dimensional abelian variety over F , and $i : \mathcal{O} \hookrightarrow \text{End}_F(A)$ is an injective ring homomorphism satisfying $i(1) = id$ (cf. [2, p.591]). Here, $\text{End}_F(A)$ is the ring of endomorphisms of A defined over F . We assume that A has a left \mathcal{O} -action. Let M^B be the Shimura curve over \mathbb{Q} associated to B , which parameterizes isomorphism classes of QM-abelian surfaces by \mathcal{O} (cf. [3, p.93]). We know that M^B is a proper smooth curve over \mathbb{Q} . For an imaginary quadratic field k , we have $M^B(k) = \emptyset$ under a certain assumption ([3, Theorem 6.3], [5, Theorem 1.1]). We expand this result to the case of number fields of higher degree in this article. The method of the proof is based on the strategy in [3], and the key is to control the field of definition of the QM-abelian surface corresponding to a rational point on M^B . We also give counterexamples to the Hasse principle on M^B over number fields. We will discuss the relevance to the Manin obstruction in a forthcoming article.

For a prime number q , let $\mathcal{B}(q)$ be the set of isomorphism classes of indefinite quaternion division algebras B over \mathbb{Q} such that

$$\begin{cases} B \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{-q}) \not\cong M_2(\mathbb{Q}(\sqrt{-q})) & \text{if } q \neq 2, \\ B \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{-1}) \not\cong M_2(\mathbb{Q}(\sqrt{-1})) \text{ and } B \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{-2}) \not\cong M_2(\mathbb{Q}(\sqrt{-2})) & \text{if } q = 2. \end{cases}$$

For positive integers N and e , let

$$\mathcal{C}(N, e) := \{ \alpha^e + \bar{\alpha}^e \in \mathbb{Z} \mid \alpha \in \mathbb{C} \text{ is a root of } T^2 + sT + N \text{ for some } s \in \mathbb{Z}, s^2 \leq 4N \},$$

$$\mathcal{D}(N, e) := \{ a, a \pm N^{\frac{e}{2}}, a \pm 2N^{\frac{e}{2}}, a^2 - 3N^e \in \mathbb{R} \mid a \in \mathcal{C}(N, e) \}.$$

Here, $\bar{\alpha}$ is the complex conjugate of α . If e is even, then $\mathcal{D}(N, e) \subseteq \mathbb{Z}$. For a subset $\mathcal{D} \subseteq \mathbb{Z}$, let

$$\mathcal{P}(\mathcal{D}) := \{ \text{prime divisors of some of the integers in } \mathcal{D} \setminus \{0\} \}.$$

For a number field k and a prime \mathfrak{q} of k of residue characteristic q , let

- $\kappa(\mathfrak{q})$: the residue field of \mathfrak{q} ,
- $N_{\mathfrak{q}}$: the cardinality of $\kappa(\mathfrak{q})$,
- $e_{\mathfrak{q}}$: the ramification index of \mathfrak{q} in k/\mathbb{Q} ,
- $f_{\mathfrak{q}}$: the degree of the extension $\kappa(\mathfrak{q})/\mathbb{F}_q$,
- $\mathcal{S}(k, \mathfrak{q})$: the set of isomorphism classes of indefinite quaternion division algebras B over \mathbb{Q} such that any prime divisor of $d(B)$ belongs to

$$\begin{cases} \mathcal{P}(\mathcal{D}(N_{\mathfrak{q}}, e_{\mathfrak{q}})) \cup \{q\} & \text{if } B \otimes_{\mathbb{Q}} k \cong M_2(k) \text{ and } e_{\mathfrak{q}} \text{ is even,} \\ \mathcal{P}(\mathcal{D}(N_{\mathfrak{q}}, 2e_{\mathfrak{q}})) \cup \{q\} & \text{if } B \otimes_{\mathbb{Q}} k \not\cong M_2(k). \end{cases}$$

Note that $\mathcal{S}(k, \mathfrak{q})$ is a finite set. The main result of this article is:

Theorem 1.1. *Let k be a number field of even degree, and q a prime number such that*

- *there is a unique prime \mathfrak{q} of k above q ,*
- *$f_{\mathfrak{q}}$ is odd (and so $e_{\mathfrak{q}}$ is even), and*
- *$B \in \mathcal{B}(q) \setminus \mathcal{S}(k, \mathfrak{q})$.*

Then $M^B(k) = \emptyset$.

Remark 1.2. (1) By [7, Theorem 0], we have $M^B(\mathbb{R}) = \emptyset$.

(2) If k is of odd degree, then k has a real place, and so $M^B(k) = \emptyset$.

2 Canonical isogeny characters

In this section, we review canonical isogeny characters associated to QM-abelian surfaces, which were introduced in [3, §4]. Let K be a number field, \overline{K} an algebraic closure of K , $G_K = \text{Gal}(\overline{K}/K)$ the absolute Galois group of K , \mathcal{O}_K the ring of integers of K , (A, i) a QM-abelian surface by \mathcal{O} over K , and p a prime divisor of $d(B)$. Then the p -torsion subgroup $A[p](\overline{K})$ of A has exactly one non-zero proper left \mathcal{O} -submodule, which we shall denote by C_p . Then C_p has order p^2 , and is stable under the action of G_K . Let $\mathfrak{P}_{\mathcal{O}} \subseteq \mathcal{O}$ be the unique left ideal of reduced norm $p\mathbb{Z}$.

In fact, $\mathfrak{P}_{\mathcal{O}}$ is a two-sided ideal of \mathcal{O} . Then C_p is free of rank 1 over $\mathcal{O}/\mathfrak{P}_{\mathcal{O}}$. Fix an isomorphism $\mathcal{O}/\mathfrak{P}_{\mathcal{O}} \cong \mathbb{F}_{p^2}$. The action of G_K on C_p yields a character

$$\varrho_p : G_K \longrightarrow \text{Aut}_{\mathcal{O}}(C_p) \cong \mathbb{F}_{p^2}^{\times}.$$

Here, $\text{Aut}_{\mathcal{O}}(C_p)$ is the group of \mathcal{O} -linear automorphisms of C_p . The character ϱ_p depends on the choice of the isomorphism $\mathcal{O}/\mathfrak{P}_{\mathcal{O}} \cong \mathbb{F}_{p^2}$, but the pair $\{\varrho_p, (\varrho_p)^p\}$ is independent of this choice. Either of the characters $\varrho_p, (\varrho_p)^p$ is called a *canonical isogeny character* at p . We have an induced character

$$\varrho_p^{\text{ab}} : G_K^{\text{ab}} \longrightarrow \mathbb{F}_{p^2}^{\times},$$

where G_K^{ab} is the Galois group of the maximal abelian extension K^{ab}/K .

For a prime \mathfrak{L} of K , let $\mathcal{O}_{K,\mathfrak{L}}$ be the completion of \mathcal{O}_K at \mathfrak{L} , and

$$r_p(\mathfrak{L}) : \mathcal{O}_{K,\mathfrak{L}}^{\times} \longrightarrow \mathbb{F}_{p^2}^{\times}$$

the composition

$$\mathcal{O}_{K,\mathfrak{L}}^{\times} \xrightarrow{\omega_{\mathfrak{L}}} G_K^{\text{ab}} \xrightarrow{\varrho_p^{\text{ab}}} \mathbb{F}_{p^2}^{\times}.$$

Here $\omega_{\mathfrak{L}}$ is the Artin map.

Proposition 2.1 ([3, Proposition 4.7 (2)]). *If $\mathfrak{L} \nmid p$, then $r_p(\mathfrak{L})^{12} = 1$.*

Fix a prime \mathfrak{P} of K above p . Then we have an isomorphism $\kappa(\mathfrak{P}) \cong \mathbb{F}_{p^{f_{\mathfrak{P}}}}$ of finite fields. Let $t_{\mathfrak{P}} := \gcd(2, f_{\mathfrak{P}}) \in \{1, 2\}$.

Proposition 2.2 ([3, Proposition 4.8]). (1) *There is a unique element $c_{\mathfrak{P}} \in \mathbb{Z}/(p^{t_{\mathfrak{P}}} - 1)\mathbb{Z}$ satisfying $r_p(\mathfrak{P})(u) = \text{Norm}_{\kappa(\mathfrak{P})/\mathbb{F}_{p^{t_{\mathfrak{P}}}}}(\tilde{u})^{-c_{\mathfrak{P}}}$ for any $u \in \mathcal{O}_{K,\mathfrak{P}}^{\times}$. Here, $\tilde{u} \in \kappa(\mathfrak{P})$ is the reduction of u modulo \mathfrak{P} .*

$$(2) \quad \frac{2c_{\mathfrak{P}}}{t_{\mathfrak{P}}} \equiv e_{\mathfrak{P}} \pmod{p-1}.$$

Corollary 2.3. *For any prime number $l \neq p$, we have $r_p(\mathfrak{P})(l^{-1})^2 = l^{e_{\mathfrak{P}}f_{\mathfrak{P}}} \pmod{p}$.*

Proof. $r_p(\mathfrak{P})(l^{-1})^2 = (\text{Norm}_{\kappa(\mathfrak{P})/\mathbb{F}_{p^{t_{\mathfrak{P}}}}}(l^{-1})^{-c_{\mathfrak{P}}})^2 = \text{Norm}_{\mathbb{F}_{p^{f_{\mathfrak{P}}}}/\mathbb{F}_{p^{t_{\mathfrak{P}}}}}(l)^{2c_{\mathfrak{P}}} = l^{\frac{2c_{\mathfrak{P}}f_{\mathfrak{P}}}{t_{\mathfrak{P}}}} = l^{e_{\mathfrak{P}}f_{\mathfrak{P}}} \pmod{p}$. □

For a prime number l , the action of G_K on the l -adic Tate module $T_l A$ yields a representation

$$R_l : G_K \longrightarrow \text{Aut}_{\mathcal{O}}(T_l A) \cong \mathcal{O}_l^{\times} \subseteq B_l^{\times},$$

where $\text{Aut}_{\mathcal{O}}(T_l A)$ is the group of automorphisms of $T_l A$ commuting with the action of \mathcal{O} , and $\mathcal{O}_l = \mathcal{O} \otimes_{\mathbb{Z}} \mathbb{Z}_l$, $B_l = B \otimes_{\mathbb{Q}} \mathbb{Q}_l$. Let $\text{Nrd}_{B_l/\mathbb{Q}_l}$ be the reduced norm on B_l . Let \mathfrak{M} be a prime of K , and $F_{\mathfrak{M}} \in G_K$ a Frobenius element at \mathfrak{M} . For each $e \geq 1$, there is an integer $a(F_{\mathfrak{M}}^e) \in \mathbb{Z}$ satisfying

$$\text{Nrd}_{B_l/\mathbb{Q}_l}(T - R_l(F_{\mathfrak{M}}^e)) = T^2 - a(F_{\mathfrak{M}}^e)T + (\text{N}_{\mathfrak{M}})^e \in \mathbb{Z}[T]$$

for any l prime to \mathfrak{M} .

Proposition 2.4 ([3, Proposition 5.3]). (1) *We have $a(F_{\mathfrak{M}}^e)^2 \leq 4(N_{\mathfrak{M}})^e$ for any positive integer e .*

(2) *Assume $\mathfrak{M} \nmid p$. Then*

$$a(F_{\mathfrak{M}}^e) \equiv \varrho_p(F_{\mathfrak{M}}^e) + (N_{\mathfrak{M}})^e \varrho_p(F_{\mathfrak{M}}^e)^{-1} \pmod{p}$$

for any positive integer e .

Let $\alpha_{\mathfrak{M}}, \bar{\alpha}_{\mathfrak{M}} \in \mathbb{C}$ be the roots of $T^2 - a(F_{\mathfrak{M}})T + N_{\mathfrak{M}}$. Then $\alpha_{\mathfrak{M}} + \bar{\alpha}_{\mathfrak{M}} = a(F_{\mathfrak{M}})$ and $\alpha_{\mathfrak{M}}\bar{\alpha}_{\mathfrak{M}} = N_{\mathfrak{M}}$. We see that the roots of $T^2 - a(F_{\mathfrak{M}}^e)T + (N_{\mathfrak{M}})^e$ are $\alpha_{\mathfrak{M}}^e, \bar{\alpha}_{\mathfrak{M}}^e$. Then $\alpha_{\mathfrak{M}}^e + \bar{\alpha}_{\mathfrak{M}}^e = a(F_{\mathfrak{M}}^e)$. We have the following corollary to Proposition 2.4(1) (for $e = 1$):

Corollary 2.5. *We have $a(F_{\mathfrak{M}}^e) \in \mathcal{C}(N_{\mathfrak{M}}, e)$ for any positive integer e .*

For a later use, we give the following lemma:

Lemma 2.6. *Let m be the residue characteristic of \mathfrak{M} . The the following conditions are equivalent:*

- (i) $m \mid a(F_{\mathfrak{M}})$.
- (ii) $m \mid a(F_{\mathfrak{M}}^e)$ for a positive integer e .
- (iii) $m \mid a(F_{\mathfrak{M}}^e)$ for any positive integer e .

Proof. For each $e \geq 1$, there is a polynomial $P_e(S, T) \in \mathbb{Z}[S, T]$ such that $(S+T)^e = S^e + T^e + STP_e(S+T, ST)$. Then $a(F_{\mathfrak{M}})^e = a(F_{\mathfrak{M}}^e) + N_{\mathfrak{M}}P_e(a(F_{\mathfrak{M}}), N_{\mathfrak{M}})$. Since $m \mid N_{\mathfrak{M}}$, we have $m \mid a(F_{\mathfrak{M}})$ if and only if $m \mid a(F_{\mathfrak{M}}^e)$. □

3 Proof of the main result

Now we prove Theorem 1.1. Suppose that the assumption of Theorem 1.1 holds. Assume that there is a point $x \in M^B(k)$. When $B \otimes_{\mathbb{Q}} k \not\cong M_2(k)$, let K_0 be a quadratic extension of k satisfying $B \otimes_{\mathbb{Q}} K_0 \cong M_2(K_0)$. Let

$$K := \begin{cases} k & \text{if } B \otimes_{\mathbb{Q}} k \cong M_2(k), \\ K_0 & \text{if } B \otimes_{\mathbb{Q}} k \not\cong M_2(k). \end{cases}$$

Note that the degree $[K : \mathbb{Q}]$ is even. Then x is represented by a QM-abelian surface (A, i) by \mathcal{O} over K (see [3, Theorem 1.1]). Since $B \notin \mathcal{S}(k, \mathfrak{q})$, there is a prime divisor p of $d(B)$ such that $p \neq q$ and p does not belong to

$$\begin{cases} \mathcal{P}(\mathcal{D}(N_{\mathfrak{q}}, e_{\mathfrak{q}})) & \text{if } B \otimes_{\mathbb{Q}} k \cong M_2(k), \\ \mathcal{P}(\mathcal{D}(N_{\mathfrak{q}}, 2e_{\mathfrak{q}})) & \text{if } B \otimes_{\mathbb{Q}} k \not\cong M_2(k). \end{cases}$$

Fix such p , and let

$$\varrho_p : G_K \longrightarrow \mathbb{F}_{p^2}^{\times}$$

be a canonical isogeny character at p associated to (A, i) .

By Proposition 2.1, the character ϱ_p^{12} is unramified outside p . Then it is identified with a character $\mathfrak{I}_K(p) \rightarrow \mathbb{F}_{p^2}^\times$, where $\mathfrak{I}_K(p)$ is the group of fractional ideals of K prime to p . When $B \otimes_{\mathbb{Q}} k \not\cong M_2(k)$, we may assume that \mathfrak{q} is ramified in K/k by replacing K_0 if necessary. In any case, let \mathfrak{N} be the unique prime of K above \mathfrak{q} . Note that \mathfrak{N} is the unique prime of K above q , and so $q\mathcal{O}_K = \mathfrak{N}^{e_{\mathfrak{N}}}$, $(N_{\mathfrak{N}})^{e_{\mathfrak{N}}} = (q^{f_{\mathfrak{N}}})^{e_{\mathfrak{N}}} = q^{[K:\mathbb{Q}]}$. Then by Corollary 2.3, we have

$$\begin{aligned} \varrho_p^{12}(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) &= \varrho_p^{12}(\mathfrak{N}^{e_{\mathfrak{N}}}) = \varrho_p^{12}(q\mathcal{O}_K) = \varrho_p^{12}(1, \dots, 1, q, \dots, q, \dots) \\ &= \varrho_p^{12}(q^{-1}, \dots, q^{-1}, 1, \dots, 1, \dots) = \prod_{\mathfrak{P}|p} r_p(\mathfrak{P})^{12}(q^{-1}) \equiv \prod_{\mathfrak{P}|p} q^{6e_{\mathfrak{P}}f_{\mathfrak{P}}} = q^{6[K:\mathbb{Q}]} \pmod{p}. \end{aligned}$$

Here, $(1, \dots, 1, q, \dots, q, \dots)$ (resp. $(q^{-1}, \dots, q^{-1}, 1, \dots, 1, \dots)$) is the idèle of K whose components above p are 1 and the others q (resp. whose components above p are q^{-1} and the others 1), and \mathfrak{P} runs through the primes of K above p . On the other hand, we have

$$a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) \equiv \varrho_p(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) + (N_{\mathfrak{N}})^{e_{\mathfrak{N}}} \varrho_p(F_{\mathfrak{N}}^{e_{\mathfrak{N}}})^{-1} = \varrho_p(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) + q^{[K:\mathbb{Q}]} \varrho_p(F_{\mathfrak{N}}^{e_{\mathfrak{N}}})^{-1} \pmod{p}$$

by Proposition 2.4(2). Let $\varepsilon := q^{-\frac{[K:\mathbb{Q}]}{2}} \varrho_p(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) \in \mathbb{F}_{p^2}^\times$. Then

$$\varepsilon^{12} = 1 \quad \text{and} \quad a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) \equiv (\varepsilon + \varepsilon^{-1})q^{\frac{[K:\mathbb{Q}]}{2}} \pmod{p}.$$

Therefore

$$a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) \equiv 0, \pm q^{\frac{[K:\mathbb{Q}]}{2}}, \pm 2q^{\frac{[K:\mathbb{Q}]}{2}} \pmod{p} \quad \text{or} \quad a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}})^2 \equiv 3q^{[K:\mathbb{Q}]} \pmod{p}.$$

By Corollary 2.5, we have $a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) \in \mathcal{C}(N_{\mathfrak{N}}, e_{\mathfrak{N}})$. We also have

$$N_{\mathfrak{N}} = N_{\mathfrak{q}} \quad \text{and} \quad e_{\mathfrak{N}} = \begin{cases} e_{\mathfrak{q}} & \text{if } B \otimes_{\mathbb{Q}} k \cong M_2(k), \\ 2e_{\mathfrak{q}} & \text{if } B \otimes_{\mathbb{Q}} k \not\cong M_2(k). \end{cases}$$

Then

$$a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}), a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) \pm q^{\frac{[K:\mathbb{Q}]}{2}}, a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) \pm 2q^{\frac{[K:\mathbb{Q}]}{2}}, a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}})^2 - 3q^{[K:\mathbb{Q}]} \in \mathcal{D}(N_{\mathfrak{N}}, e_{\mathfrak{N}}).$$

Since $p \notin \mathcal{P}(\mathcal{D}(N_{\mathfrak{q}}, e_{\mathfrak{N}}))$, we have

- (1) $a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}}) = 0, \pm q^{\frac{[K:\mathbb{Q}]}{2}}, \pm 2q^{\frac{[K:\mathbb{Q}]}{2}}$, or
- (2) $a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}})^2 = 3q^{[K:\mathbb{Q}]}$.

[Case (1)]. In this case, we have $q \mid a(F_{\mathfrak{N}}^{e_{\mathfrak{N}}})$. Then by Lemma 2.6, we have $q \mid a(F_{\mathfrak{N}})$. Since $f_{\mathfrak{N}} (= f_{\mathfrak{q}})$ is odd, we obtain $B \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{-q}) \cong M_2(\mathbb{Q}(\sqrt{-q}))$ or $(q = 2$ and $B \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{-1}) \cong M_2(\mathbb{Q}(\sqrt{-1}))$) (see [3, Theorem 2.1, Propositions 2.3 and 5.1 (1)]). This contradicts $B \in \mathcal{B}(q)$.

[Case (2)]. In this case, $q = 3$ and $[K:\mathbb{Q}]$ is odd, which is a contradiction.

Therefore we conclude $M^B(k) = \emptyset$. □

Table 1:

(N, e)	$\mathcal{C}(N, e)$	$\mathcal{D}(N, e)$	$\mathcal{P}(\mathcal{D}(N, e))$
(2, 2)	0, -3, -4	0, ± 1 , ± 2 , -3, ± 4 , -5, -6, -7, -8, -12	2, 3, 5, 7
(2, 4)	1, ± 8	0, 1, -3, ± 4 , 5, -7, ± 8 , 9, ± 12 , ± 16 , -47	2, 3, 5, 7, 47
(2, 6)	0, 9, -16	0, 1, -7, ± 8 , 9, ± 16 , 17, -24, 25, -32, 64, -111, -192	2, 3, 5, 7, 17, 37
(2, 8)	-31, 32	0, 1, -15, 16, -31, 32, -47, 48, -63, 64, 193, 256	2, 3, 5, 7, 31, 47, 193
(2, 10)	0, 57, -64	0, -7, 25, ± 32 , 57, ± 64 , 89, -96, 121, -128, 177, 1024, -3072	2, 3, 5, 7, 11, 19, 59, 89
(2, 12)	-47, ± 128	0, 17, -47, ± 64 , 81, -111, ± 128 , -175, ± 192 , ± 256 , 4096, -10079	2, 3, 5, 7, 17, 37, 47, 10079
(2, 14)	0, -87, -256	0, 41, -87, ± 128 , 169, -215, ± 256 , -343, -384, -512, 16384, -41583, -49152	2, 3, 5, 7, 13, 29, 41, 43, 83, 167
(2, 16)	449, 512	0, -63, 193, 256, 449, 512, 705, 768, 961, 1024, 4993, 65536	2, 3, 5, 7, 31, 47, 193, 449, 4993
(3, 2)	-2, 3, -5, -6	0, 1, -2, ± 3 , 4, -5, ± 6 , -8, ± 9 , -11, -12, -18, -23	2, 3, 5, 11, 23
(3, 4)	7, -9, -14, 18	0, -2, 4, -5, 7, ± 9 , -11, -14, 16, ± 18 , -23, 25, ± 27 , -32, 36, -47, 81, -162, -194	2, 3, 5, 7, 11, 23, 47, 97
(3, 6)	10, 46, -54	0, -8, 10, -17, 19, -27, 37, -44, 46, -54, 64, -71, 73, -81, 100, -108, 729, -2087	2, 3, 5, 11, 17, 19, 23, 37, 71, 73, 2087
(3, 8)	34, -81, -113, 162	0, -32, 34, -47, 49, ± 81 , -113, 115, -128, ± 162 , -194, 196, ± 243 , -275, 324, 6561, -6914, -13122, -18527	2, 3, 5, 7, 11, 17, 23, 47, 97, 113, 191, 3457
(3, 10)	243, 475, -482, -486	0, 4, -11, 232, -239, ± 243 , 475, -482, ± 486 , 718, -725, ± 729 , 961, -968, -972, 48478, 55177, 59049, -118098	2, 3, 5, 11, 19, 23, 29, 31, 239, 241, 359, 2399, 24239
(3, 12)	658, -1358, 1458	0, -71, 100, -629, 658, 729, -800, -1358, 1387, 1458, -2087, 2116, 2187, -2816, 2916, 249841, 531441, -1161359	2, 3, 5, 7, 11, 17, 19, 23, 37, 47, 71, 73, 97, 433, 577, 1009, 1151, 2087
(3, 14)	2187, 2515, 3022, -4374	0, 328, 835, -1352, -1859, ± 2187 , 2515, 3022, ± 4374 , 4702, 5209, ± 6561 , 6889, 7396, -8748, 4782969, -5216423, -8023682, -9565938	2, 3, 5, 11, 13, 23, 41, 43, 83, 167, 337, 503, 673, 1511, 2351, 5209, 24023
(3, 16)	-353, -6561, -11966, 13122	0, -353, 1156, -5405, 6208, ± 6561 , -6914, -11966, 12769, ± 13122 , -13475, -18527, ± 19683 , -25088, 26244, 14044993, 43046721, -86093442, -129015554	2, 3, 5, 7, 11, 17, 23, 31, 47, 97, 113, 191, 193, 353, 383, 2113, 3457, 30529, 36671

4 Counterexamples to the Hasse principle

We have computed the sets $\mathcal{C}(N, e), \mathcal{D}(N, e), \mathcal{P}(\mathcal{D}(N, e))$ in several cases as seen in Table 1. Then we obtain the following counterexamples to the Hasse principle on M^B over number fields:

Proposition 4.1. (1) *Let $d(B) = 39$, and let $k = \mathbb{Q}(\sqrt{2}, \sqrt{-13})$ or $\mathbb{Q}(\sqrt{-2}, \sqrt{-13})$. Then $B \otimes_{\mathbb{Q}} k \cong M_2(k)$, $M^B(k) = \emptyset$ and $M^B(k_v) \neq \emptyset$ for any place v of k . Here, k_v is the completion of k at v .*

(2) *Let L be the subfield of $\mathbb{Q}(\zeta_9)$ satisfying $[L : \mathbb{Q}] = 3$, and let $(d(B), k) = (62, L(\sqrt{-39}))$ or $(86, L(\sqrt{-15}))$. Then $B \otimes_{\mathbb{Q}} k \not\cong M_2(k)$, $M^B(k) = \emptyset$ and $M^B(k_v) \neq \emptyset$ for any place v of k .*

Proof. (1) The prime number 3 (resp. 13) is inert (resp. ramified) in $\mathbb{Q}(\sqrt{-13})$. Then $B \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{-13}) \cong M_2(\mathbb{Q}(\sqrt{-13}))$, and so $B \otimes_{\mathbb{Q}} k \cong M_2(k)$.

Applying Theorem 1.1 to $q = 2$, we obtain $M^B(k) = \emptyset$. In fact, $(e_{\mathfrak{q}}, f_{\mathfrak{q}}) = (4, 1)$ where \mathfrak{q} is the unique prime of k above $q = 2$, and the prime divisor 13 of $d(B)$ does not belong to $\mathcal{P}(\mathcal{D}(2, 4)) \cup \{2\}$ (see Table 1). Since 3 (resp. 13) splits in $\mathbb{Q}(\sqrt{-2})$ (resp. $\mathbb{Q}(\sqrt{-1})$), we have $B \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{-2}) \not\cong M_2(\mathbb{Q}(\sqrt{-2}))$ (resp. $B \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{-1}) \not\cong M_2(\mathbb{Q}(\sqrt{-1}))$).

By [3, p.94], we have $M^B(\mathbb{Q}(\sqrt{-13})_w) \neq \emptyset$ for any place w of $\mathbb{Q}(\sqrt{-13})$ (cf. [4]). Therefore $M^B(k_v) \neq \emptyset$ for any place v of k .

(2) For a field F of characteristic $\neq 2$ and two elements $a, b \in F^\times$, let

$$\left(\frac{a, b}{F}\right) = F + Fe + Ff + Fef$$

be the quaternion algebra over F defined by

$$e^2 = a, f^2 = b, ef = -fe.$$

For a prime number p , let e_p, f_p, g_p be the ramification index of p in k/\mathbb{Q} , the degree of the residue field extension above p in k/\mathbb{Q} , and the number of primes of k above p respectively.

Let $(d(B), k) = (62, L(\sqrt{-39}))$ (resp. $(86, L(\sqrt{-15}))$). First, we prove $B \otimes_{\mathbb{Q}} k \not\cong M_2(k)$. We see $B \cong \left(\frac{62, 13}{\mathbb{Q}}\right)$ (resp. $\left(\frac{86, 5}{\mathbb{Q}}\right)$) by [6, §3.6 g)]. We have $(e_2, f_2, g_2) = (1, 3, 2)$. Let v be place of k above 2. By the same argument as in the proof of [1, Proposition 8.1], we have $B \otimes_{\mathbb{Q}} k_v \not\cong M_2(k_v)$. Therefore $B \otimes_{\mathbb{Q}} k \not\cong M_2(k)$.

Applying Theorem 1.1 to $q = 3$, we obtain $M^B(k) = \emptyset$. In fact, $(e_{\mathfrak{q}}, f_{\mathfrak{q}}) = (6, 1)$ where \mathfrak{q} is the unique prime of k above $q = 3$, and the prime divisor 31 (resp. 43) of $d(B)$ does not belong to $\mathcal{P}(\mathcal{D}(3, 12)) \cup \{3\}$. Since 31 (resp. 43) splits in $\mathbb{Q}(\sqrt{-3})$, we have $B \otimes_{\mathbb{Q}} \mathbb{Q}(\sqrt{-3}) \not\cong M_2(\mathbb{Q}(\sqrt{-3}))$.

By [5, Table 1], we have $M^B(\mathbb{Q}(\sqrt{-39})_w) \neq \emptyset$ (resp. $M^B(\mathbb{Q}(\sqrt{-15})_w) \neq \emptyset$) for any place w of $\mathbb{Q}(\sqrt{-39})$ (resp. $\mathbb{Q}(\sqrt{-15})$). Therefore $M^B(k_v) \neq \emptyset$ for any place v of k .

□

References

- [1] *K. Arai*, Algebraic points on Shimura curves of $\Gamma_0(p)$ -type (III), preprint, available at the web page (<http://arxiv.org/pdf/1303.5270.pdf>).
- [2] *K. Buzzard*, Integral models of certain Shimura curves, *Duke Math. J.* **87** (1997), no. 3, 591–612.
- [3] *B. Jordan*, Points on Shimura curves rational over number fields, *J. Reine Angew. Math.* **371** (1986), 92–114.
- [4] *B. Jordan, R. Livné*, Local Diophantine properties of Shimura curves, *Math. Ann.* **270** (1985), no. 2, 235–248.
- [5] *V. Rotger, C. de Vera-Piquero*, Galois representations over fields of moduli and rational points on Shimura curves, *Canad. J. Math.* **66** (2014), 1167–1200.
- [6] *H. Shimizu*, Hokei kansū. I–III (Japanese) [Automorphic functions. I–III] Second edition. Iwanami Shoten Kiso Sūgaku [Iwanami Lectures on Fundamental Mathematics], 8. Daisū [Algebra], vii. Iwanami Shoten, Tokyo, 1984.
- [7] *G. Shimura*, On the real points of an arithmetic quotient of a bounded symmetric domain, *Math. Ann.* **215** (1975), 135–164.

(Keisuke Arai) Department of Mathematics, School of Engineering, Tokyo Denki University, 5 Senju Asahi-cho, Adachi-ku, Tokyo 120-8551, Japan
E-mail address: `araik@mail.dendai.ac.jp`