Citation Sentiment Reflects Multiscale Sociocultural Norms

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Modern science is formally structured around scholarly publication, where scientific knowledge is canonized through citation. Precisely how citations are given and accrued can provide information about the value of discovery, the history of scientific ideas, the structure of fields, and the space or scope of inquiry. Yet parsing this information has been challenging because citations are not simply present or absent; rather, they differ in purpose, function, and sentiment. In this paper, we investigate how critical and favorable sentiments are distributed across citations, and demonstrate that citation sentiment tracks sociocultural norms across scales of collaboration, discipline, and country. At the smallest scale of individuals, we find that researchers cite scholars they have collaborated with more favorably (and less critically) than scholars they have not collaborated with. Outside collaborative relationships, higher h-index scholars cite lower h-index scholars more critically. At the mesoscale of disciplines, we find that wetlab disciplines tend to be less critical than drylab disciplines, and disciplines that engage in more synthesis through publishing more review articles tend to be less critical. At the largest scale of countries, we find that greater individualism (and lesser acceptance of the unequal distribution of power) is associated with more critical sentiment. Collectively, our results demonstrate how sociocultural factors can explain variations in sentiment in scientific communication. As such, our study contributes to the broader understanding of how human factors influence the practice of science, and underscore the importance of considering the larger sociocultural contexts in which science progresses.

I. INTRODUCTION

In the modern era, science has often been assumed to be an objective process [1]. By this descriptor, scholars have variously meant faithfulness to facts, absence of normative commitments, and freedom from personal biases [2]. Yet with an increasing understanding of human psychology has come an acknowledgement that even the most scrupulous of researchers cannot achieve various types of objectivity; for example, (1) the mechanical objectivity of suppressing the universal human propensity to judge and aestheticize, or (2) the aperspectival objectivity of eliminating individual idiosyncracies [3]. The growing appreciation of human subjectivity has motivated a turn from the personal to the community, where objectivity is considered a quality that characterizes a collection or population of studies [4], and hence is a feature of scientific communities and their practices [5]. In communities, objectivity can further be thought of as occurring in degrees, such that any method of inquiry is only objective to the degree that it permits *transformative criticism* through shared standards, equality of intellectual authority, avenues for criticism, and uptake

of criticism [6].

Yet, the above discourse begs the question: Need objectivity always be fundamentally at odds with subjectivity? In a complementary line of work, it has been noted that strong objectivity can be maintained without the requirement of value-neutrality [7] or the suppression of all aspects of the self [8], including one's epistemological standpoint [9] or ethical commitments [10]. The potential coexistence of certain objectivities and certain subjectivities has proven useful in evaluating the scientific self, which exists among values, standpoints, cognitive biases, and human perceptions [11]. Indeed, scientists specifically, and scholars more broadly, exist in multiplydependent cultural contexts-from the local collaborative group or research institution to the scientific discipline or the geographically-defined culture—that each evince distinct norms, values, currencies, and artifacts [12]. For example, separate disciplines recommend distinct forms of scientific rationality, methods to investigate the world, and factors that others in the community would appreciate [13]; separate countries can have social and funding norms that impact collaborative tendencies [14]; and even different fields of thought work under distinct notions of theoretical aesthetics, the value of parsimony, and preferred characteristics of explanatory structures [15].

Hence, scientific selves are not fundamentally au-

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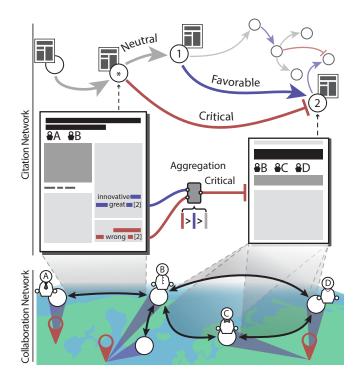


Figure 1. Sentiment analysis in citation and author networks. A two-layer network comprising a network of citations and a network of collaborators. In the citation network, nodes represent scientific articles, and edges represent citations. Sentiment analysis categorizes each edge as favorable (blue), neutral (grey), or critical (red). In the collaboration network, nodes represent scholars, and scholars who co-author articles are connected by edges.

tonomous, self-creating, culture-free individuals; rather, they are co-produced by interactions within the networks, communities, and social movements of scientific culture [11], with its set of standards, mores, practices, languages, and dialects [12]. A key locus of this cultural production is the accumulation of cultural archives through the publication of scientific papers [12]. Although a large fraction of such papers will not be referenced (or quickly become obsolete [16]), the remainder will be canonized into scientific knowledge proper through the act of citation [17]. Factors that determine which papers get cited include epistemic values (e.g., empirical support, simplicity, generality, precision, rigor, testability, and explanatory power [6, 18]) and non-epistemic values (e.g., common interest, shared gender, same ethnicity, social capital, and institutional privilege [19-24]), as well as seemingly trivial factors such as punctuated titles [25]. Collectively, these factors provide an explanation for who cites who, how often, and when. In other words, citation dynamics are socio-cognitive processes [26].

Citation networks have played a key role in the sociology of science and its critical evaluation [24, 27–29]. Yet, due to various challenges of data accessibility and limitations in algorithmic efficacy, a major gap in knowledge exists: precisely how, or in what way, do we cite? Citations can be differently valued [30]; can be epistemic or procedural [18]; can be positive or negative, indicate either support or contrast, attribute creativity or indicate status as a "classic" [31, 32]; can either represent or misrepresent the work cited [33]; and can be chosen for social reasons (such as name-dropping [33] or soliciting favor from a scholar who might have influence in the review process), for mercantile reasons (such as bartering for a citation in return), and for alignment reasons (such as transmitting a specific self-image, e.g., as part of the mainstream, the *avant garde*, or a given school) [34, 35]. Because not all citations are created equal, citation analysis could be meaningfully expanded to account for citation type [28, 34]. Although a few early studies attempted such an expansion, they were limited in scope by the lack of computational infrastructure to assess citation type automatically from large databases.

Here we overcome this fundamental limitation in order to examine citation sentiment and its dependence upon the sociocultural factors in which science exists and progresses (Fig. 1). To ensure that our assessment is computationally tractable while remaining sensitive to local cultural norms, we focus on a single discipline neuroscience—and build a large database of papers from 56,000 last authors published in 185 journals (impact factor > 3 in 2022). As a candidate field, neuroscience has particular affordances for our purpose: its relative youth allows for the emergence and growth of notyet-crystallized subdisciplines, a fundamental interdisciplinarity as it draws on earlier-defined disciplines, and a marked intersection of opinions, disciplinary methods, and approaches to study [36, 37]. All of these factors can support a diversity, heterogeneity, and complexity of the space of ideas, and the network of citations among papers espousing those ideas. We chose to focus on citation sentiment (neutral, favorable, or critical), as a kind or type, for functional and pragmatic purposes. Functionally, sentiment can track coalescence (or fragmentation) of people and ideas; pragmatically, sentiment can be measured quantitatively in extensive databases using large language models. Using this approach, we show how citation sentiment varies across people groups, scientific disciplines, and whole countries, providing a paper-trail lens into the socio-cognitive processes of science.

II. RESULTS

In a large connected citation network of 108909 papers from the PMC Open Access Subset, we assess citation sentiment as neutral, favorable, or critical (see Supplement). Neutral sentiment is observed in factual phrases or statements, devoid of opinion (e.g., "was reported", "has been shown", "can alter", "can play a role", "is", "responds to"). Favorable sentiment is observed in phrases or statements that indicate a positive assessment or evaluation (e.g., adjectival phrases like "elegantly shown", "optimized method", "powerful tools")

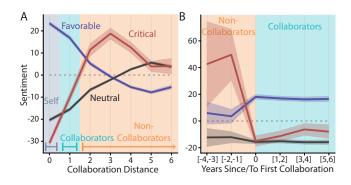


Figure 2. Citation sentiment depends on distance and years since/to first collaboration. (A) Citation sentiment relative to the null model as a function of the collaboration distance between the last authors of the citing and cited works. Shaded regions denote one standard deviation from the mean at a given collaboration distance. (B) Citation sentiment as a function of the number of years since the first collaboration between the two last authors. A negative year implies that at the time of a citation, the two scholars had yet to collaborate but would do so in the future. Shaded regions denote one standard deviation.

or that indicate consensus or consistency (e.g., "converging results", "conclusion is consistent"). Critical sentiment is observed in phrases or statements that indicate debate ("the focus of debate"), disagreement ("while other studies do X, we argue Y"), difference ("in contrast to a previous report"), distinction ("is not applicable here"), distance ("despite the fact that many studies have evaluated X, very few have evaluated Y"), or limitation ("however, X method limits desirable outcome Y"). In this formulation, then, sentiment captures both social and epistemic alignment by measuring evaluation and intellectual commonality, respectively. After extracting 627108 citation sentences and parsing them, we observed that 37.3% were favorable, 5.3% were critical, and 57.4%were neutral. Our first question was how these sentiments were aligned with ingroup/outgroup relations and structures of dominance. Specifically, we investigated how sentiment is assigned to the ingroup of collaborators (vs. the outgroup of scholars one has never co-authored with) and to the dominant group of scholars with high h-indices (vs. the less dominant group of scholars with lower h-indices).

A. Citation Sentiment is Most Favorable to Collaborators

To examine how sentiment might reflect ingroup/outgroup relations, we measured the collaboration distance: the geodesic distance in the collaboration network between the citer and the citee [38] at the year of citation. We observed that people are most favorable to themselves and collaborators, and most critical to scholars with whom they have not collaborated (Fig. 2A).

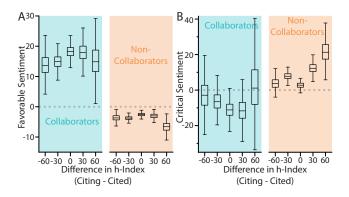


Figure 3. Higher h-index scholars critically cite lower h-index scholars. Citation sentiment as a function of the difference in h-index between the citing and cited last authors.

In subsequent analyses, we removed self-citations to focus on community practices. Note that collaboration distance likely tracks both social distance and intellectual distance. What happens when intellectual distance is held fixed, and social distance changes? To take an initial step toward addressing this question, we isolate citer-citee pairs who would eventually become collaborators. Just prior to collaborating, we find that sentiment is overwhelmingly critical, whereas just after collaborating, critical sentiment plummets and favorable sentiment rises (Fig. 2B). This pattern suggests that the social act of collaboration may drive a transformation from critical to favorable sentiment among scholars. We also notice that citer-citee pairs that will collaborate within the next four years tend to display significantly greater critical sentiment (46.6% more than expected)than citer-citee pairs that will not collaborate (1.2%)more than expected; two-sided Welch's t-test: t = 91.3, p < 0.0001, df = 1003.8). The presence of this dramatic increase could indicate that critical language in citation may be an early predictor of future collaboration.

B. Citation Sentiment is Most Critical from High h-index Scholars to Low h-index Non-Collaborators

To examine how sentiment might be impacted by factors of dominance or scientific hierarchy, we consider the difference in *h*-index between the citer and the citee. We first observe, regardless of the difference in *h*-index, that scholars tend to express more favorable sentiment to their collaborators (16.8% more than expected) and less favorable sentiment to non-collaborators (3.4% less than expected; Fig. 3A). We next observe that as citer *h*-index increases relative to that of non-collaborator citees, critical sentiment increases (Fig. 3B, right). These data demonstrate that citation sentiment varies with the relative status of the citer and citee.

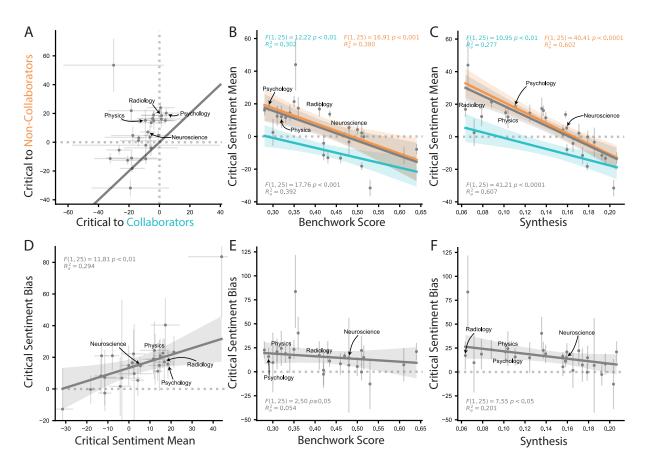


Figure 4. Critical citation sentiment varies across disciplines. (A) Mean critical sentiment in a discipline toward collaborators versus non-collaborators. (B) Mean critical sentiment as a function of the benchwork score of the citing paper's discipline. We define the benchwork score as the estimated proportion of wet lab papers (instead of dry lab papers) in a discipline. Orange corresponds to the sentiment toward non-collaborators, cyan to the sentiment toward collaborators, and grey to the sentiment toward both. Lines are fit using weighted least squares regression; shaded regions represent 95% confidence intervals. (C) Mean critical sentiment as a function of the synthesis score of a citing paper's discipline. We define synthesis as the proportion of review papers in a discipline. (D) Relationship between the mean critical sentiment and bias. We define bias as the difference between sentiments toward non-collaborators and collaborators. (E) Bias in critical sentiment as a function of discipline's benchwork score. (F) Bias in critical sentiment as a function of discipline's sentiment as a function of discipline's benchwork score.

C. Citation Sentiment Tracks Disciplinary Differences & Practices

The previous two sections suggest that citation sentiment tracks social factors at the level of inter-personal differences (h-index) and relationships (collaboration). We expand out from dvads to larger social groups in the form of scientific disciplines, with their own broader social norms, cultures [39, 40], and identities [41]. Moreover, as so-called *communication complexes* [42] with their own rhetoric [43], writing styles [44], and communicative processes [42], we expect disciplines to differ in citation sentiment and in the prevalence of critical vs. favorable sentiment to collaborators and strangers. Consistent with our expectations, we see that critical sentiment varies by discipline (Fig. 4A), with most disciplines displaying less critical sentiment to collaborators than to non-collaborators (Fig. 4A, the sector above the unity line). Interestingly, we observe that the degree of critical sentiment in a discipline overall is positively correlated with sentiment bias; that is, the more critical the discipline in general, the more likely the discipline will more critically cite non-collaborators than collaborators (Fig. 4D; linear regression adjusted $R^2 = 0.294$; F-test F(1,25) = 11.81, p < 0.01). Similarly, we see that favorable sentiment varies by discipline (Fig. 5A), with all disciplines displaying more favorable sentiment to collaborators than to non-collaborators (Fig. 5A, the sector below the unity line). The degree of favorable sentiment in a discipline overall is significantly correlated with sentiment bias (Fig. 5D; adjusted $R^2 = 0.498$, F(1,25) = 26.77, p < 0.0001).

Disciplinary Differences. Next we turn to the question of why we might expect some disciplines to be more or less critical than others, and which ones. First, we hypothesize that differences in citation sentiment will track with the differently valued explanations that scientific disciplines provide. In modern science, distinct

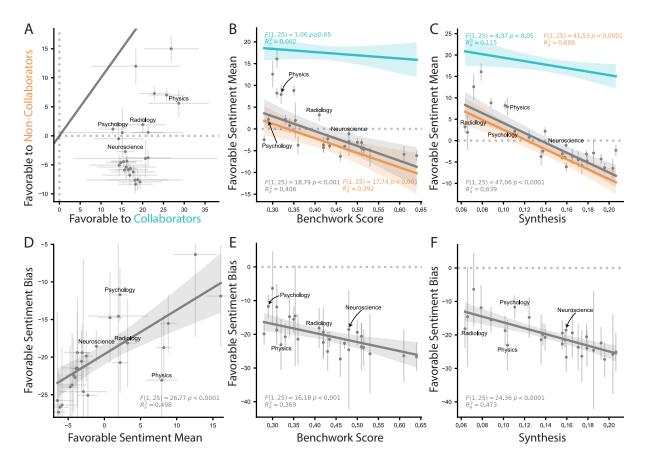


Figure 5. Favorable citation sentiment varies across disciplines. (A) Mean favorable sentiment in a discipline toward collaborators versus non-collaborators. (B) Mean favorable sentiment as a function of the benchwork score of the citing paper's discipline. We define benchwork score as the estimated proportion of wet lab papers (as opposed to dry lab papers) in a discipline. Orange corresponds to the sentiment toward non-collaborators, cyan to the sentiment toward collaborators, and grey to the sentiment toward both. Lines are fit using weighted least squares regression; shaded regions represent 95% confidence intervals. (C) Mean favorable sentiment as a function of the synthesis score of a citing paper's discipline. We define synthesis as the proportion of review papers in a discipline. (D) Relationship between the mean favorable sentiment and bias. We define bias as the difference between sentiments toward non-collaborators and collaborators. (E) Bias in favorable sentiment as a function of discipline's benchwork score. (F) Bias in favorable sentiment as a function of disciplinary synthesis.

disciplines are perceived as describing different levels or scales of nature [45, 46] and thereby providing differently valued explanations, with lower-level explanations being more valued than higher-level explanations (e.g., see [47] but also [48]). This value structure is consistent with the emphasis on uncovering underlying mechanisms [49] and the prevalence of reductionism in modern science [50]. To measure a discipline's typical level of explanation, we evaluate the degree to which the discipline uses benchwork and wetlab practices, or hands-on experimentation with biological materials, chemicals, and liquids (see Supplement). Disciplines scoring high on this factor include those that provide genetic and molecular explanations, whereas disciplines scoring low on this factor include those that provide higher-level explanations from drylab practices (e.g., computational and theoretical techniques), mental processes (e.g., psychology), and social phenomena (e.g., psychiatry).

We expect disciplines offering lower-level explanations

from benchwork to have less critical sentiment because that scale of explanation is more accepted and valued. By contrast, we expect disciplines offering higher-level explanations to more frequently resort to the use of sentiment to signal valuation of the scientific work. Consistent with our expectations, we observe a significant negative trend between critical sentiment and the benchwork score (linear regression adjusted $R^2 = 0.392$; F-test F(1,25) = 17.76, p < 0.001; Fig. 4B, grey). Interestingly, the effect holds both when citing non-collaborators (adjusted $R^2 = 0.380$, F(1, 25) = 16.91, p < 0.001) and when citing collaborators $(R^2 = 0.302, F(1, 25) = 12.22,$ p < 0.01). Similarly, we observe a significant negative trend between favorable sentiment and the benchwork score (linear regression adjusted $R^2 = 0.406$; F-test F(1,25) = 18.79, p < 0.001; Fig. 5B, grey). Again the effect holds when citing non-collaborators (adjusted $R^2 = 0.392, F(1, 25) = 17.74, p < 0.001$, but is significantly mitigated when citing collaborators ($R^2 = 0.002$, F(1, 25) = 1.06, p = 0.31). Further, we observe a significantly negative trend between the benchwork score and bias in favorable sentiment towards collaborators (adjusted $R^2 = 0.369$, F(1, 25) = 16.18, p < 0.001; Fig. 5E). This negative trend is not seen for critical sentiment (linear fit and F-test between benchwork score and critical sentiment bias $R^2 = 0.054$, F(1, 25) = 2.50, p = 0.13; Fig. 4E).

Disciplinary Practices. The previous section provides evidence that citation sentiment tracks disciplinary differences in the level of scientific explanation. But apart from a discipline's nature, might its practices also play a role? If a discipline engages in inclusive communication practices—for example, by regularly publishing review articles that synthesize the work of different groups, computational methods, experimental approaches, and theoretical perspectives—we might expect its scholars to be exposed to a greater diversity of ideas, appreciate the value in diverse efforts, and cite less critically. This expectation is supported by work in communication and polarization. Exposure to like-minded information can have a polarizing effect [51], whereas exposure to multiple competing views can increase tolerance and familiarity [52], accompany intellectual humility [53], and decrement polarization [54]. In providing exposure to diverse views, scientific review articles could further attenuate polarization by modeling ingroup open-mindedness [55]: a receptiveness to new ideas, a capacity to embrace a variety of views, and an expanded 'latitude of acceptance'. or the range of views that one finds to be acceptable for other people to hold [56–58]. Accordingly, we expect that disciplines with fewer review articles—a more fragmented, heterogeneous, and potentially polarized scholarly landscape—would evince more critical sentiment.

We define synthesis to be the proportion of review papers in a discipline. Consistent with our expectations, we observe a significant negative correlation between critical sentiment and synthesis (linear regression adjusted $R^2 = 0.607$; F-test F(1,25) = 41.21, p < 0.0001; Fig. 4C, grey). The effect holds when citing non-collaborators (adjusted $R^2 = 0.602$, F(1, 25) = 40.41, p < 0.0001), but is mitigated when citing collaborators ($R^2 = 0.277$, F(1,25) = 10.95, p < 0.01). Further, we observe that disciplines with greater synthesis display less bias in critical sentiment towards non-collaborators, and disciplines with less synthesis display more bias (linear fit and F-test between synthesis and critical sentiment bias $R^2 = 0.201$, F(1,25) = 7.55, p < 0.05; Fig. 4F). Similarly, we observe a significant negative correlation between favorable sentiment and synthesis (linear regression adjusted $R^2 = 0.639$; F-test F(1,25) = 47.06, p < 0.0001; Fig. 5C, grey). Again the effect holds when citing noncollaborators (adjusted $R^2 = 0.609, F(1, 25) = 41.53,$ p < 0.0001), and is similarly mitigated when citing collaborators $(R^2 = 0.115, F(1, 25) = 4.37, p < 0.05)$. We observe a significant negative relationship between synthesis and bias in favorable sentiment towards collaborators (adjusted $R^2 = 0.473$, F(1, 25) = 24.36, p < 0.0001;

Fig. 5F). Note that our null model (see Methods) accounts for the fact that review papers in general express less sentiment (28.56% favorable, 4.69% critical) compared to research papers (40.52% favorable, 5.52% critical).

Specificity Analyses. Collectively, these results provide evidence that citation sentiment is associated with disciplinary differences in the level of explanation and disciplinary practices of review and synthesis. In the supplementary materials, we assess the specificity of our findings by also examining disciplinary differences in perceived brilliance [59, 60].

D. Citation Sentiment Tracks Country Differences in Individualism and Power

In the previous sections, we considered a scholar's location within a range of social structures from small collaborations to wider disciplines. But the sociocultural milieu in which each scholar exists also extends outwards to the national scale, with each country having distinct norms that can manifest in scientific practice. Cultural differences in academic writing are well-known, and typically studied under the framing of intercultural or contrastive rhetoric and applied linguistics [62–64]. Importantly, smaller cultures (e.g., personal, disciplinary) can interact with national culture [65], often in more-or-less tacit and unthinking writing practices [66]. Prior work has examined cultural differences in economic functionality, capitalism, and individualism that manifest in both academic and popular writing [66–68]. Importantly, cultural differences extend beyond writing style to citation practices [69]. For example, citations differ across cultures in voice and stance [70, 71], density [72], dialogic contraction/expansion [69], and acknowledgment of limitations [73]. Here we show that cultural differences also exist in citation sentiment (Fig. 6A and Fig. 7A), and seek to understand how variations in citation sentiment may reflect cultural norms around individualism and acceptance of the hierarchical structures of society.

Accordingly, we examine power distance and individualism-two key dimensions of modern culture [61, 74]—to assess country-level differences in citation sentiment. Power distance refers to the degree to which people accept the unequal distribution of power. Individualism refers to the degree to which people believe that the interests of the individual should have precedence over the interests of a social group, and to which people oppose external interference upon individual interests by society or institutions such as the government [61]. We observe a significant negative correlation between critical sentiment and power distance (Fig. 6B; linear regression adjusted $R^2 = 0.737$; F-test F(1, 21) = 62.50, p < 0.0001), such that countries whose people accept the unequal distribution of power tend to evince less critical citation sentiment. The effect holds for both non-collaborators (adjusted $R^2 = 0.756$,

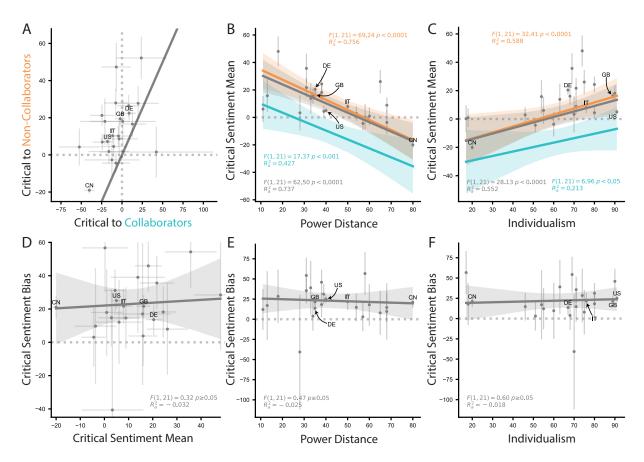


Figure 6. Critical sentiment varies across countries. (A) Mean critical sentiment across countries toward collaborators versus non-collaborators. (B) Mean critical sentiment for countries as a function of the degree to which people accept the unequal distribution of power, measured as the power distance [61]. (C) Mean critical sentiment for countries as a function of individualism; individualism measures the degree to which people believe that the interests of the individual should take precedence over the interests of a social group[61]. (D) Relationship between the mean and bias in critical sentiment across countries as a function of power distance. (F) Bias in critical sentiment across countries as a function of individualism.

F(1,21) = 69.24, p < 0.0001 and collaborators (adjusted $R^2 = 0.427$, F(1,21) = 17.37, p < 0.001). Further, we observe a significant positive trend between critical sentiment and individualism (Fig. 6C; linear regression adjusted $R^2 = 0.552$; F-test F(1, 21) = 28.13, p < 0.0001), such that countries whose people privilege individual interests over group interests tend to evince more critical citation sentiment. This effect again holds for both non-collaborators (adjusted $R^2 = 0.588$, F(1,21) = 32.41, p < 0.0001 and collaborators (adjusted $R^2 = 0.213$, F-test F(1, 21) = 6.96, p < 0.05). The overall trends between favorable sentiment and power distance (Fig. 7B, grey) or individualism (Fig. 7C, grey) are similar but weaker in size compared to those of critical sentiment. Interestingly, unlike critical sentiment, an opposite trend appears between favorable sentiment towards collaborators and powerdistance (Fig. 7B, cyan, adjusted $R^2 = 0.197$, F-test F(1, 21) = 6.40, p < 0.05). The bias in critical sentiment towards non-collaborators as opposed to collaborators (Fig. 6D) does not track individualism or power-distance

(Fig. 6E-F), but favorable sentiment does (Fig. 7E, adjusted $R^2 = 0.300$, F-test F(1, 21) = 10.42, p < 0.01 for power-distance; Fig. 7F, adjusted $R^2 = 0.240$, F-test F(1, 21) = 7.96, p < 0.05 for individualism). While there is a trend between average favorable sentiment expression and the bias towards collaborators, this trend may not be robust due to the large number of points that do not follow it (Fig. 7D). These results suggest that norms around social hierarchy may influence citation sentiment, resulting in a stronger expression of differences and criticisms in low-power and high-individualism cultures.

E. Citation Sentiment and Gender

Thus far, the data consistently suggest that citation sentiment can track ingroup/outgroup relations, structures of dominance, and hierarchies that are interpersonal (collaboration), prestigious (h-index, disciplinary level of explanation), and national (beliefs about power

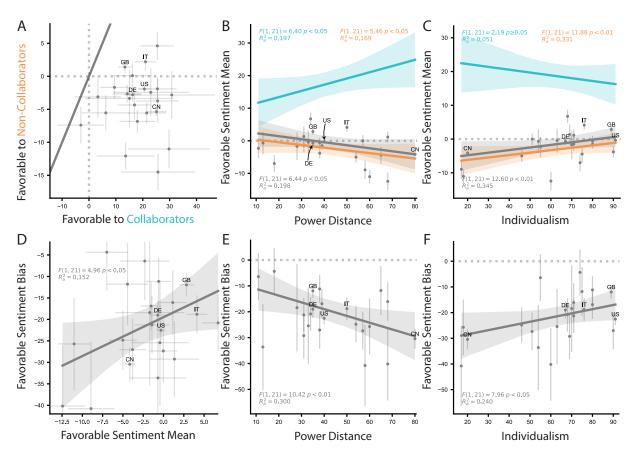


Figure 7. Favorable sentiment varies across countries. (A) Mean favorable sentiment across countries toward collaborators versus non-collaborators. (B) Mean favorable sentiment for countries as a function of the degree to which people accept the unequal distribution of power, measured as the power distance [61]. (C) Mean favorable sentiment for countries as a function of individualism; individualism measures the degree to which people believe that the interests of the individual should take precedence over the interests of a social group[61]. (D) Relationship between the mean and bias in favorable sentiment across countries. (E) Bias in favorable sentiment across countries as a function of power distance. (F) Bias in favorable sentiment across countries as a function of power distance.

and society). Yet, an element that can influence all of these factors is personal identity; dimensions of identity such as gender, sex, race, ethnicity, class, and (dis)ability determine one's placement, status, and power in social groups across scales. How might personal identity manifest in citation sentiment, again marking structures of dominance and hierarchy? To address this question, we examined author gender, which has a known relation to writing style (including language use, rhetorical strategies, and authorial positioning [75–79]) and citation practice [27, 80–84].

We observe that men display 2.9% more critical sentiment than women (Fig. 8A; two-sided Welch's t-test: t = 51.02, p < 0.0001, df = 1773) and more favorable sentiment than woman (Fig. 8A; two-sided Welch's ttest: t = 92.69, p < 0.0001, df = 1750). This pattern of results indicates that men write with more sentiment overall than women. Interestingly, men also display 0.6% less favorable sentiment bias than women (two-sided Welch's t-test: t = 7.73, p < 0.0001, df = 1738; Fig. 8A), and 2.7% less critical sentiment bias than women (twosided Welch's t-test: t = -15.23, p < 0.0001, df = 1813). This pattern of results indicates that men more evenly distribute their sentiment across both collaborators and non-collaborators compared to women. Because gender ratios vary by discipline [59, 85, 86], we next examined whether citation sentiment tracked the proportion of men in a discipline. We observe that the proportion of men is not correlated with critical sentiment (Fig. 8B; linear regression adjusted $R^2 = 0.049$; F-test F(1, 25) = 2.35, p = 0.14) but it is positively correlated with favorable sentiment towards collaborators (Fig. 8C; adjusted $R^2 = 0.483$; F-test F(1, 25) = 25.33, p < 0.0001) and towards others in general (Fig. 8C; adjusted $R^2 = 0.120$; F-test F(1, 25) = 4.54, p < 0.05). Generally, these results indicate that gender interacts with both discipline and collaboration status in explaining citation sentiment.

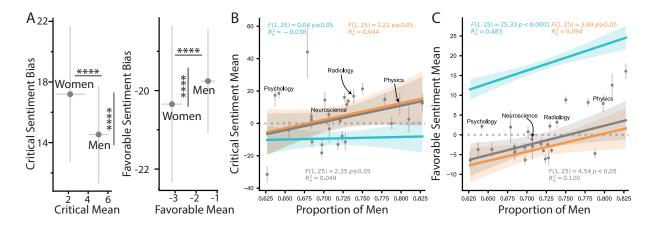


Figure 8. Citation sentiment varies by gender. (A) Mean and bias in citation sentiment separated by sentiment type and author gender. Asterisks indicate p-values in two-sided Welch's t-tests; a single asterisk indicates p < 0.05; two asterisks indicate p < 0.01; three asterisks indicate p < 0.001; and four asterisks indicate p < 0.001. (B) Mean critical sentiment for disciplines as a function of the proportion of men. (C) Mean favorable sentiment for disciplines as a function of the proportion of men.

III. DISCUSSION

Scientific writing is a social act [87], a locus of cultural production [88], and a means of communicating to our peers with a variety of purposes [89]. A key communication channel in scientific writing is citations, and the sentiments that surround them. Early work examining this channel assessed citations that carried sentiments of evaluation and negation by cataloging the verbs used in citation sentences [90]. Later studies employed computational methods to assess the functions and motivations of citations based on their content [91]. Those functions have been summarized in terms of positive, negative, and neutral sentiment [92], as well as in terms of uncertainty versus utility [93]. Here we significantly extend prior work by expanding the size of the database and employing a large language model to efficiently and fairly quantify sentiment in the citation sentences of over a hundred thousand papers across 181 neuroscience journals. Our approach allows us to uncover significant relations between citation sentiment and sociocultural factors across scales of local collaboration, broader discipline, and whole country. Collectively, the data point to a focal role for status, hierarchy, and power in the use of sentiment in modern citation practices.

A. Relations of authorship and status

Communication is critical for cooperation [94]. In science, cooperation can take on many forms, but one key type that has risen in prominence recently is collaboration [95, 96]. How might communication through citation sentiment reflect and support collaborative relationships? Here we evaluate collaboration via coauthorship a widely accepted proxy even though not all collaborations are rewarded with coauthorship [97]. We find that scholars cite their collaborators more favorably and less critically than they cite non-collaborators. Utilizing science communication practices such as favorable sentiment could support collaboration by increasing trust, reducing competition, and supporting the social cohesion that allows for knowledge transfer [98]. In turn, scholarly collaboration can enhance the quality of the scientific work [99], which can then provide scholars with greater status in the scientific community.

Communication is not only critical for cooperation but also for sustaining systems of social status, which themselves can either support [100] or undermine cooperation [101]. In science, a prominent system of social status or hierarchy combines research productivity and quality [102]. As a useful proxy, we employ the h-index and show that differences between the h-index of the citer and the citee do not significantly track citation sentiment among collaborators. However, when a high h-index scholar cites a lower h-index non-collaborator, they do so with less favorable and more critical sentiment. Because we do not have a measure of a scholar's seniority, we cannot determine whether this behavior arises from more senior scholars critically citing the work of similarly-senior or less-senior scholars, or from less senior scholars critically citing the work of more senior scholars with lower hindices. The graded nature of this relationship is notable, and consistent with prior work demonstrating that as the steepness of a dominance hierarchy increases, aggression from dominants to subordinates increases while reciprocal exchanges decrease [103]. Interestingly, this behavior tends to exist in communities with scarce resources, but is mitigated in those that depend heavily on coalition formation [103, 104]. It is possible that the success of high h-index scholars is less dependent on scientific collaboration or coalition than that of low h-index scholars, and that critical sentiment serves to underscore dominance.

B. Relations of disciplinary nature and practice

Systems of social status extend beyond the single scholar to larger groups, subfield, and disciplines. Disciplines differ in their values, practices, criteria for progress, models, justification, and evidence, as well as their level of explanation [45-47]. As such, disciplines can be differently valued, with research offering lowerlevel explanation and mechanisms valued as particularly powerful and foundational [48]. A key open question is how citation practices might track these disciplinary differences and markers of status. Prior work has uncovered disciplinary differences in citation density [70, 105– 107], citation functions [93, 108], citation integration [70, 105, 106], sources of citations [109, 110], types and tenses of reporting clauses [109], frequency of reporting verbs [105], and preferences for particular types of reporting verbs [70, 109, 111]. Here we extend these observations by demonstrating that citation sentiment tracts disciplinary differences in benchwork versus computational or theoretical work. We observe that disciplines offering lower-level explanations from benchwork have less sentiment overall (whether critical or favorable), consistent with the hypothesis that the scale of explanation in benchwork disciplines is more accepted and valued. We further observe that disciplines offering higher-level explanations have greater citation sentiment (both critical and favorable), which might reflect a practice of using sentiment to signal valuation of scientific work when the scale of explanation is less accepted and valued. These potential sociocultural explanations for citation sentiment align with prior work, which interprets disciplinary differences as influenced by the epistemologies underlying a discipline's cultural practices and the ethnolinguistic norms of disciplinary communication [69].

Beyond inherent level of explanation and type of knowledge, a discipline can also be characterized by its more practical methods and practices [112]. Here we were interested in practices of scholarly publication that might modulate the social structure of a discipline—including the degree of divisiveness or fragmentation—and hence that could change the balance between critical versus favorable sentiment in citations. In particular, we investigated the markedly growing practice of publishing review articles [113], and found that disciplines which published a larger ratio of review articles to empirical articles displayed less sentiment overall (either critical or favorable), instead citing science more neutrally and non-affectively. It is interesting to consider this finding in light of prior work on motivated reasoning [114], which can influence the processing of scientific evidence by subconsciously skewing it in a way that supports an individual's prior beliefs [115]. Scientific review articles could serve as interventions for open-mindedness [116], for example by puncturing the so-called illusion of explanatory depth (whereby people think that they know more about complex phenomena than they really do) [117], providing exposure to the perspectives of scholars with other

viewpoints [118], and foregrounding incremental theory, in the sense that knowledge is changeable and unlimited, as opposed to entity theory, where knowledge is fixed or finite [119].

C. Relations of culture across countries

Although scientists live and work within an explicitly scholarly social milieu—comprised of collaborative relationships and disciplinary identities—science and its practitioners also exist within other cultural structures outside of the academy. For example, research priorities and funding levels differ significantly across countries [120, 121]. Further, social structures of prestige and marginalization impact what science is done and who gets to do it [24, 122], often with detrimental outcomes for discovery and innovation [123, 124]. Here we asked whether the well-known cultural differences in academic writing extend to citation practices, and whether the sentiment of those citations tracks cultural norms around status, hierarchy, and power. We found that countries characterized by individualism displayed greater citation sentiment (both critical and favorable) to non-collaborators, indicating a more pervasive use of affect in scientific writing. By contrast, countries characterized by collectivism displayed less citation sentiment, utilizing a more neutral writing style. We further found that countries whose people tended to accept the unequal distribution of power (as quantified by the power distance) displayed less citation sentiment (both critical and favorable) to noncollaborators. It is possible that citation sentiment is used in science to uphold or dismantle existing structures of status, hierarchy, and dominance whereas the lack of sentiment indicates an acceptance of power and a focus on collective goals. More broadly, our results suggest that the non-scholarly cultural systems that we as scientists are embedded within play a key role in how scholars practice science individually.

D. Methodological Considerations

Several methodological considerations are pertinent to our study. First, our sentiment analysis method captures both evaluative judgments and expressed commonalities and differences between the citing and the cited works. Sentiment can be defined in multiple ways [125], and the findings must be interpreted in the context of the definition used. Second, because we have limited amount of data for each individual author, we cannot predict how a single citer might behave. Instead, our results characterize trends for a group or groups of people. Third, in seeking to understand how scholars cited the work of others with differences in scholarly content were not driving our findings. Hence, we developed and employed a null model that accounts for differences in content between citing and cited papers, thereby ensuring a clean representation of sentiment. Fourth, studies of culture are challenged by the complexity of the concept, and prior work has underscored the need to think about multiple dimensions and scales of culture [65, 66]. Here we acknowledge that complexity by examining cultural factors that span from small to large scales, and concretize our investigation by using specific variables related to social practices and beliefs. Fifth, prior work has found that criticism and intellectual differences in citations are rare (from 0.8% [126] to 15% [127]). To ensure a reliable signal, we employed a large database of 627108 citation sentences and a powerful and sensitive sentiment detection method using a large language model. Sixth, our results are limited to the field of neuroscience, and it is not vet clear whether they are pertinent to other fields. It seems likely that there could be links to other young disciplines, that have common factors like a relative youth which could allow for the emergence of still-malleable subdisciplines, a marked interdisciplinarity drawing on older fields, and a diversity of opinions, methods, and experimental approaches [36, 37]. It would be interesting to contrast this work to other disciplines of varying ages.

IV. CONCLUSION

Growing evidence across the fields of psychology, sociology, and science of science underscores the humanity that scholars bring to the scientific enterprise. Individually, we bring our epistemological standpoints, cognitive biases, perceptions, and values whereas in groups we bring disciplinary norms of preferred practices, methods, standards, and explanations. Here we uncover human subjectivities in scientific citation, demonstrating that citation sentiment in neuroscience tracks multiscale sociocultural norms of status, collaboration, discipline, and country. Uncovering the social construction of science is not only a scholarly contribution but also an ethical one, as obscuring that construction is an epistemic harm [128]. Looking to the future, important open questions remain, including how citation sentiment might have changed over time, how the relation between sentiment and culture might be impacted by serendipitous discoveries or paradigm shifts, and how other aspects of affect might manifest in science communication more broadly.

V. METHODS

A. Citation and Author Data

We use PubMed Central and the Web of Science API to build our datasets for analyses. The PubMed Central (PMC) Open Access Subset (OA) includes millions of research articles and pre-prints alongside relevant metadata, such as article type, venue of publication, and institutional information [129]. We gather all English language research and review articles from PMC OA relating to neuroscience published between 1998 and 2023 and cited at least one article. In parallel, using the Web of Science, we compile a list of journals classified under the "neurosciences" category with an impact factor of at least 3 in the year 2022. We manually add three more venues creating a list of 181 journals in which the gathered articles are published; the full list is available in the Supplement. Each article in the PMC OA subset is stored in an extensible markup language (XML) file and contains tags delineating its several components. For instance, the <article-title> tag encloses an article's title, <code><abstract></code> encloses the abstract, and <code><xref></code> encloses a citation in the body of the text. Using these and other relevant tags, we extract each article's title, list of authors, affiliations (at the departmental, institutional, and country levels), and all sentences containing citations to other works. We obtain author h-indices from the Web of Science. We use Gender API to infer gender from the names of the last authors, requiring the underlying model to be at least 70% confident in its prediction [130]. The resulting dataset contains 627108 citation sentences, 383292 unique authors, 56034 unique last authors; from the data, 27 departments and 23 countries and regions are included in the analyses. Additionally, we build a collaboration network where authors are nodes and edges correspond to co-authorship. From this network, we define collaboration distance as the length of the shortest path between two individuals.

B. Measuring Sentiment

We assess citation sentiment using $\texttt{GPT-3.5-Turbo-1106}, \ a \ large \ language \ model \ (LLM)$ by OpenAI. LLMs are trained on a large corpus of text data and can generate language across various Through their exposure during training to domains. diverse contexts, they can recognize subjective elements of human language, such as tone [131], irony [132, 133], sarcasm [134], and implied meaning [135]. Here, we prompt GPT-3.5-Turbo-1106 to evaluate a citation as negative, neutral, or positive; prompt text supplied to the LLM is available in the Supplement. Each entry in the citation data contains a single sentence with possibly multiple citations. Consider, for instance, the following sentence with two citations: "Lorem ipsum odor amet [citation 1], consectetuer adipiscing elit [citation 2]." This text results in two entries for the LLM to judge: (1) "Lorem ipsum odor amet [citation 1], consectetuer adipiscing elit", and (2) "Lorem ipsum odor amet, consectetuer adipiscing elit [citation 2]." Using the OpenAI API, we pass each entry to the model separately for sentiment analysis. In practice, each citation location in a sentence is replaced by the Unicode character with encoding U+272A (see Supplement for full model prompt). It is common for an article to cite another article multiple times in different locations

in the text. We aggregate overall sentiment through the following order of precedence: negative sentiment takes precedence over positive sentiment, and both take precedence over neutral sentiment. Here, we assume negative sentiment from a citing article overrides any positive or neutral citations[136, 137].

However, before using the model in this manner, we perform an additional fine-tuning step. LLMs can perform various tasks through zero-shot prompting, meaning they do not generally require more training. Supplying a well-chosen prompt is often sufficient. However, a small amount of domain-specific finetuning can improve performance and help generate well-formatted outputs for easier parsing. The finetuning process entails adapting a large language model trained for a general use case to a task-specific use case, in this case, assessing citation sentiment. With the help of 5 volunteer researchers, we manually annotate 300 randomly chosen citations as negative (-1), neutral (0), or positive (1). The finetuning dataset comprises these citations and their annotatorassigned labels. If the average sentiment value is less than or equal to -0.4, we assign a negative label; if it is greater than or equal to 0.4, we assign a positive label. All citations with values between the two are assumed to be neutral. Finetuning GPT-3.5-Turbo-1106 with this data results in an improvement of 28% over the baseline (see Supplement).

We use a null model to benchmark observed sentiment values against those that might emerge by random chance. For instance, we find that papers with similar content are likely to involve negative citations, thereby increasing the likelihood that such a citation in the data is an outcome of the similarity of work and not an underlying bias of interest. Similar effects are associated with article type (review vs. research) and the number of times an article references another. A review article tends to be more neutral in sentiment compared to a research article, and an article that cites another article more frequently tends to be more negative. We use these factors to measure the expected probability of observing a negative, neutral, or positive citation in the data. First, relating to shared content, we create three bins for high, medium, and low similarity, where similarity is measured as the cosine distance between the vector embeddings of article titles. The embeddings are generated using OpenAI's text-embedding-3-small model. Additionally, 15 bins are associated with citation frequency and 2 with article type. Initially, for each of the 90 $(3 \times 15 \times 2)$ resulting combinations, we perform maximum likelihood estimation to compute the probability that a citation in the data belongs to a given group. However, if a bin has fewer than 500 samples, we use the marginal distribution over title similarity to create a larger bin. In these instances, we choose to ignore the impact of title similarity since it has the weakest effect on citation valence compared to article type and citation frequency. Further, when a citing-cited pair has a frequency greater than 15, we use the corresponding bin for 15 citations instead.

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we use the probabilities underlying the 54 resulting bins to draw realizations of null sentiment values for given citing-cited pairs. These realizations serve as the basis of comparison throughout our analyses.

Consider a set of citations corresponding to a broader category of interest, such as citations between collaborators or citations between authors with an h-index difference of 2. Given such a set, we can measure the ratio of positive, neutral, and negative citations within it. However, if the set is small in size, the estimated ratios may not accurately represent the true underlying distribution of sentiments for the group. To mitigate this concern, we apply bootstrapping. We create 1000 resampled sets by randomly sampling from the original, each time with replacement, thereby allowing us to estimate a distribution of ratios for each type of sentiment. Bootstrapping in this manner helps to construct robust distributions and derive more accurate measures of variability. Simultaneously, for each of the 1000 resampled sets for a given sentiment type, we evaluate expected ratios considering the null model. Our measure of interest is the percentage difference in the empirical ratio relative to the ratio derived from the null model. In all figures, we plot mean values for the normalized empirical ratios and estimate error bars using standard deviations.

C. Departmental and Cultural Measures

Alongside citation sentiment, we evaluate other measures at the broader departmental and cultural scales. At the departmental level, we evaluate the proportion of articles originating in benchwork, terming this measure the *benchwork score*. Lower values correspond to computational settings, whereas larger values correspond to research performed predominantly in wet labs. We measure this score using a base version (un-finetuned) of GPT-3.5-Turbo-1106. For each of the 28 departments, we first sample 100 articles, excluding reviews. We then prompt the model to determine whether a given article is a product of benchwork by examining its abstract. Abstracts are enclosed within <abstract> tags in the PMC OA XML data. The benchwork score for a department is the fraction of papers identified by the model as having originated in a wet lab. The prompt text supplied to the LLM is available in the Supplement. We also measure synthesis as the proportion of review papers among all papers in a discipline. We identify a review paper by the article-type variable from the XML file for each paper. At the broader cultural scale, we use the 6-D model of national culture to measure power distance and individualism. Power distance is the "extent to which the less powerful members of organizations and institutions (like the family) accept and expect that power is distributed unequally." Individualism, in turn, is the "extent to which people feel independent, as opposed to being interdependent as members of larger wholes." Values for these measures are available at [61, 74].

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